

A FEW THINGS ABOUT  
**DEEP LEARNING**

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Instituto de Computação  
Unicamp

November 2013



# “The Man Behind the Google Brain: Andrew Ng and the Quest for the New AI”

[www.wired.com/wiredenterprise/  
2013/05/neuro-artificial-intelligence/all/](http://www.wired.com/wiredenterprise/2013/05/neuro-artificial-intelligence/all/)





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## The New York Times

### How Many Computers to Identify a Cat? 16,000

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With massive amounts of computational power, machines can now recognize objects and translate speech in real time. Artificial intelligence is finally getting smart.

[www.technologyreview.com/  
featuredstory/513696/deep-learning/](http://www.technologyreview.com/featuredstory/513696/deep-learning/)



# BREAKTHROUGH RESULTS

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## Object Recognition



Images from  
CIFAR-10 dataset:  
[www.cs.toronto.edu/~kriz/cifar.html](http://www.cs.toronto.edu/~kriz/cifar.html)



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Why is  
it so  
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## Object Recognition

IMGENET

ILSVRC2012

Team name	Error (5 guesses)	Description
SuperVision	0.15315	Using extra training data from ImageNet Fall 2011 release
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# BREAKTHROUGH RESULTS

## Traffic Sign Recognition



[www.idsia.ch/~juergen/ijcnn2011.pdf](http://www.idsia.ch/~juergen/ijcnn2011.pdf)

# BREAKTHROUGH RESULTS

## Traffic Sign Recognition



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Rank	Team	Method	Correct recognition rate
1	IDSIA	Committee of CNNs	99.46 %
2	INI	Human Performance	98.84 %
3	sermanet	Multi-Scale CNNs	98.31 %
4	CAOR	Random Forests	96.14 %

[benchmark.ini.rub.de](http://benchmark.ini.rub.de)





# BREAKTHROUGH RESULTS

Merck Competition

Deep NN and GPUs come out to play

[blog.kaggle.com/2012/10/31/merck-competition-results-deep-nn-and-gpus-come-out-to-play/](http://blog.kaggle.com/2012/10/31/merck-competition-results-deep-nn-and-gpus-come-out-to-play/)

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Microsoft Research

Speech Recognition Leaps Forward

[research.microsoft.com/en-us/news/features/speechrecognition-082911.aspx](http://research.microsoft.com/en-us/news/features/speechrecognition-082911.aspx)



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[research.microsoft.com/en-us/news/features/speechrecognition-082911.aspx](http://research.microsoft.com/en-us/news/features/speechrecognition-082911.aspx)

and more...

# LARGE ADOPTION



just to mention a few big names



**MIT  
Technology  
Review**

“artificial intelligence is  
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**DON'T TAKE IT THE WRONG WAY**



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# DON'T TAKE IT THE WRONG WAY

"Biology is hiding secrets well. We just don't  
have the right tools to grasp the  
complexity of what's going on."

**Bruno Olshausen**

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**Bruno Olshausen**

“We clearly don't have the right algorithms yet. It's going to  
take decades. This is not going to be an easy one, but I think  
there's hope.”


**Andrew Ng**

[www.wired.com/wiredenterprise/2013/05/neuro-artificial-intelligence/all/](http://www.wired.com/wiredenterprise/2013/05/neuro-artificial-intelligence/all/)



# ILSVRC2012 WINNER

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# ILSVRC2012 WINNER

“Our model is a large, deep convolutional neural network trained on raw RGB pixel values. The neural network, which has 60 million parameters and 650,000 neurons, consists of five convolutional layers, some of which are followed by max-pooling layers, and three globally-connected layers with a final 1000-way softmax. It was trained on two NVIDIA GPUs for about a week. To make training faster, we used non-saturating neurons and a very efficient GPU implementation of convolutional nets. To reduce overfitting in the globally-connected layers we employed hidden-unit "dropout", a recently-developed regularization method that proved to be very effective.”



# WHAT'S NEW?

convolutional neural networks  
max-pooling layers  
60 million parameters  
non-saturating neurons  
efficient GPU implementation  
"dropout"

# WHAT'S NEW?

convolutional neural networks Lecun et al., 1989  
max-pooling layers Fukushima, 1980  
60 million parameters  
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efficient GPU implementation  
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# NEURAL NETWORK RENAISSANCE

# IN 2006

Hinton et al. showed that a particular form of **autoencoder** can be trained and stacked in a **greedily manner**, so that a bound on the probability of representing well the training data is increased at each layer.



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others paper followed soon after

# IN 2006

**autoencoder**

is a neural network  
whose aim is to learn a  
compressed representation  
of the input data  
**(unsupervised)**

a particular  
stacked  
probab

trained and  
und on the  
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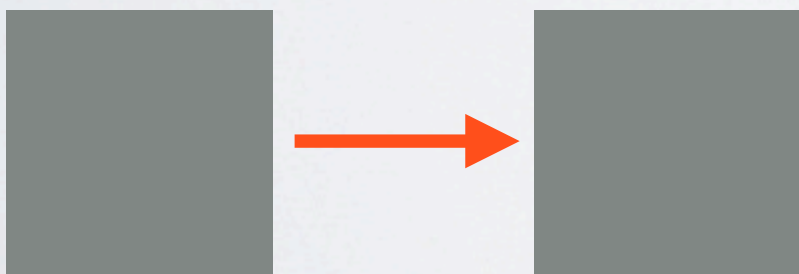


# KEY PRINCIPLES

**unsupervised** training of one layer at a time

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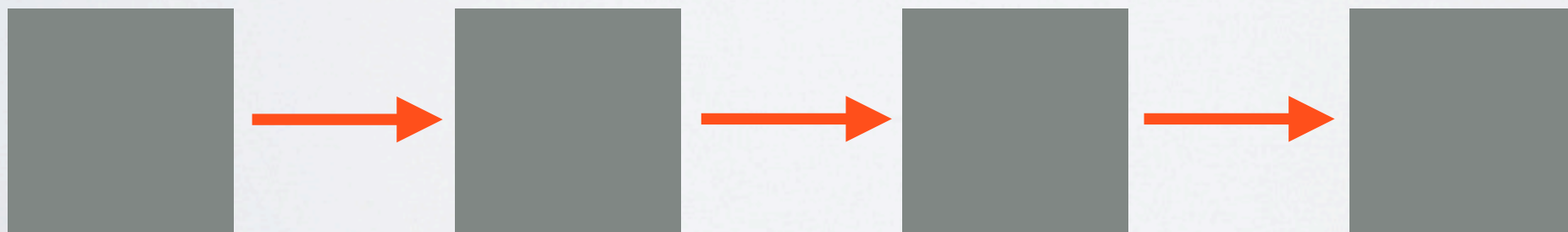
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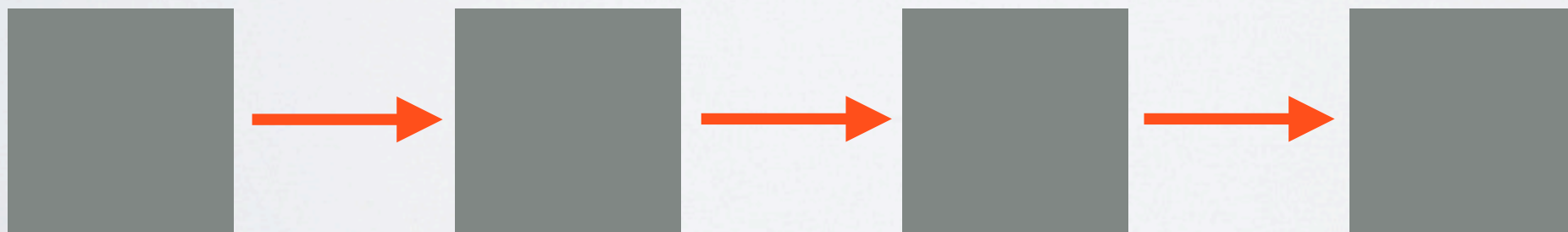




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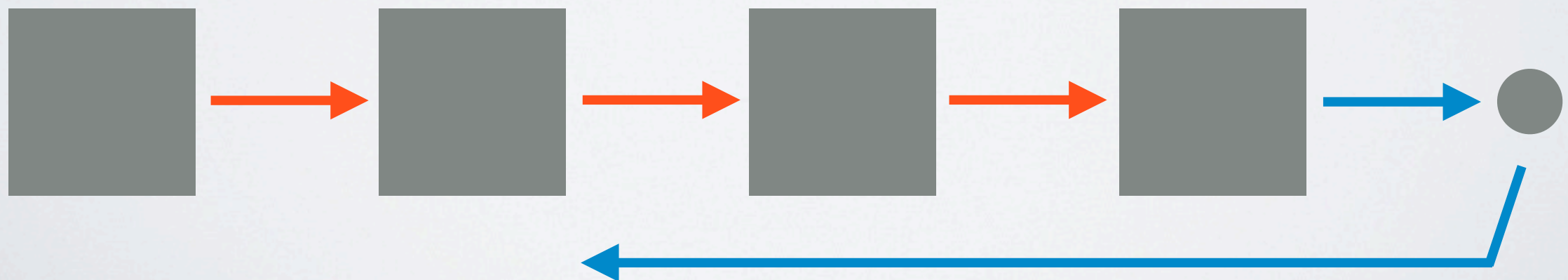
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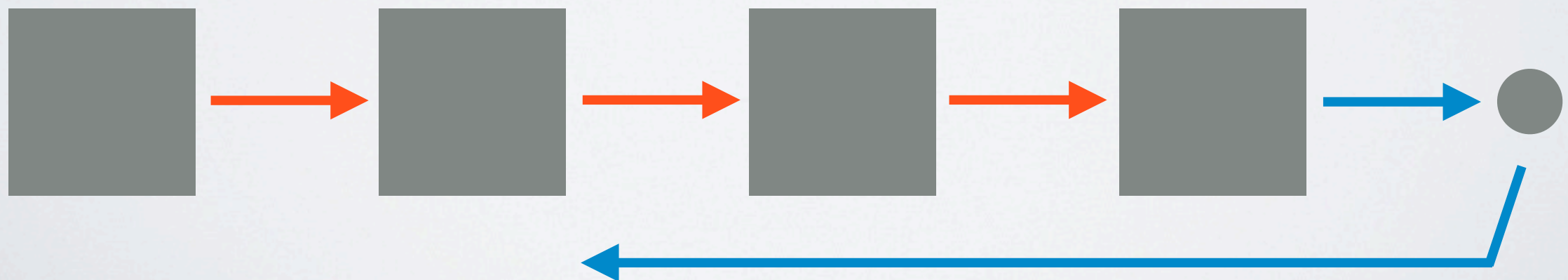




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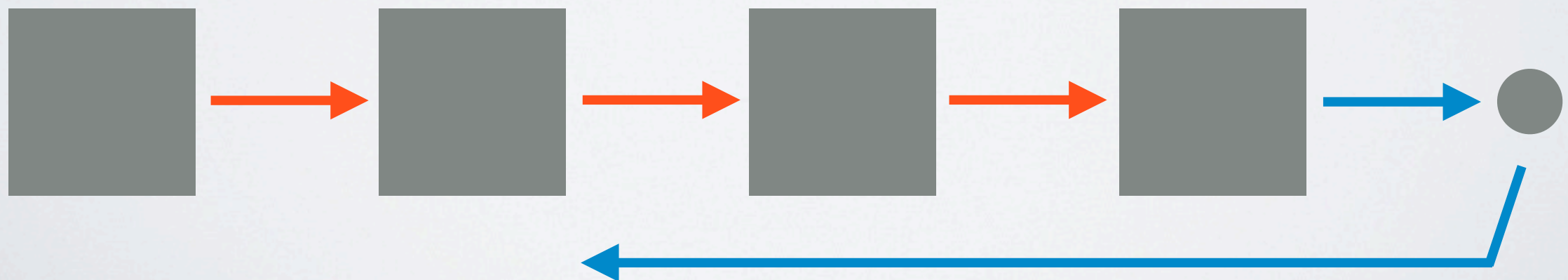
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**unsupervised** training of one layer at a time  
**pre-training**

**supervised** training of all layers  
**fine-tuning**





# UNSUPERVISED PRE-TRAINING

a.k.a. unsupervised feature learning

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idea

learn one

layer of representation

at a time

on top of the previous one



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**nonlinear**  
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learn one layer = learn neuron weights to extract one layer



# UNSUPERVISED PRE-TRAINING

a.k.a. unsupervised feature learning

before that (2006)

deep supervised

feedforward neural networks  
tended to yield worse results than  
shallow ones

# UNSUPERVISED PRE-TRAINING

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hypothesis



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hypothesis

learn **high-level abstractions** of the input

helps fine-tuning to reach a **better local minimum**

better **generalization**

# UNSUPERVISED PRE-TRAINING

a.k.a. unsupervised feature learning

**motivation**



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## motivation

in many problems, **high-level abstractions**  
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in many problems, **high-level abstractions**  
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necessity to capture the **explanatory factors**  
(structure) of the data



# WHY UNSUPERVISED?

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**supervised** representation learning  
in early layers tend to  
**discard** information important  
for higher concepts

Bengio et al, 2007



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it is more biologically plausible:

brain needs to learn  $10^{14}$  synapses in  $10^9$  seconds

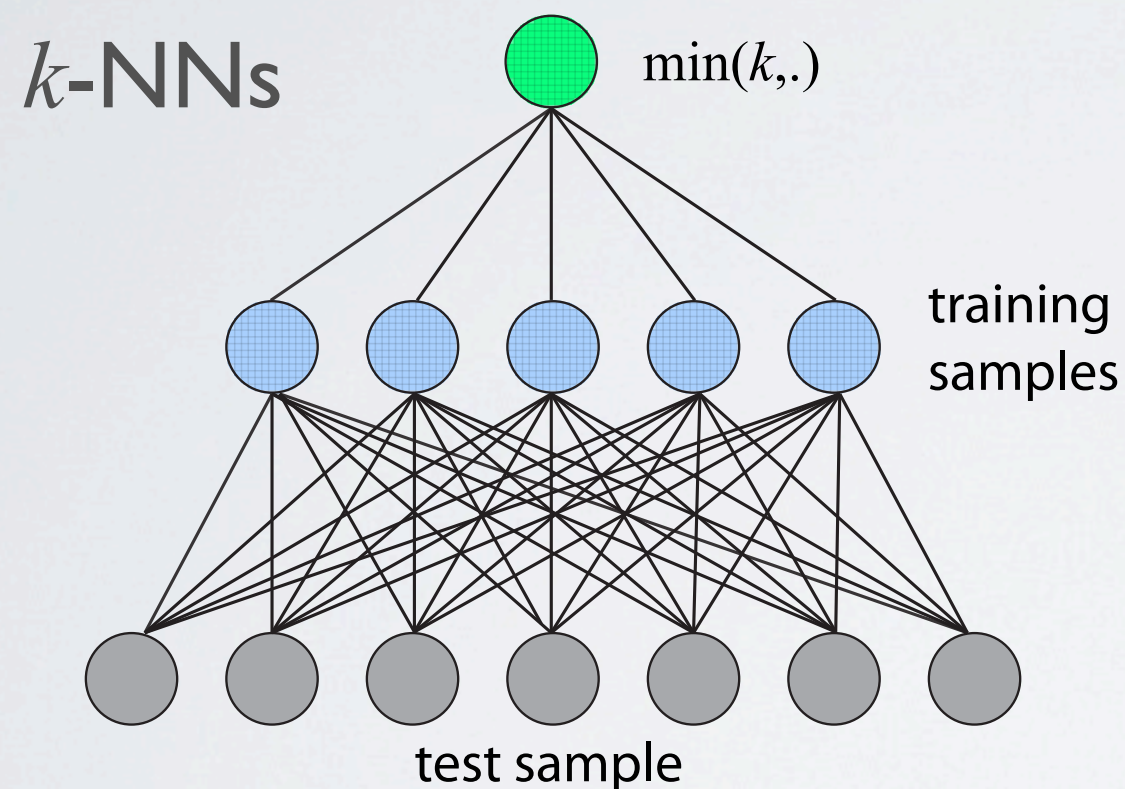
# THE IMPORTANCE OF DEPTH

in many cases, depth 2 is enough to represent any function with a given target accuracy



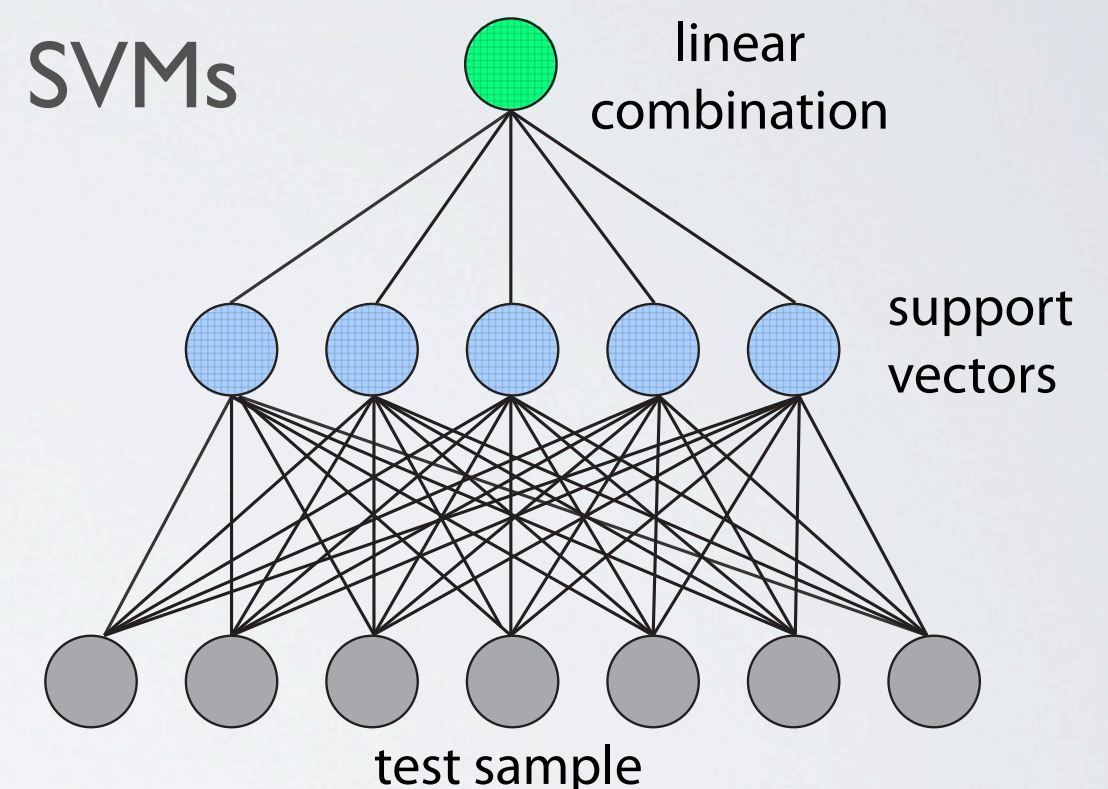
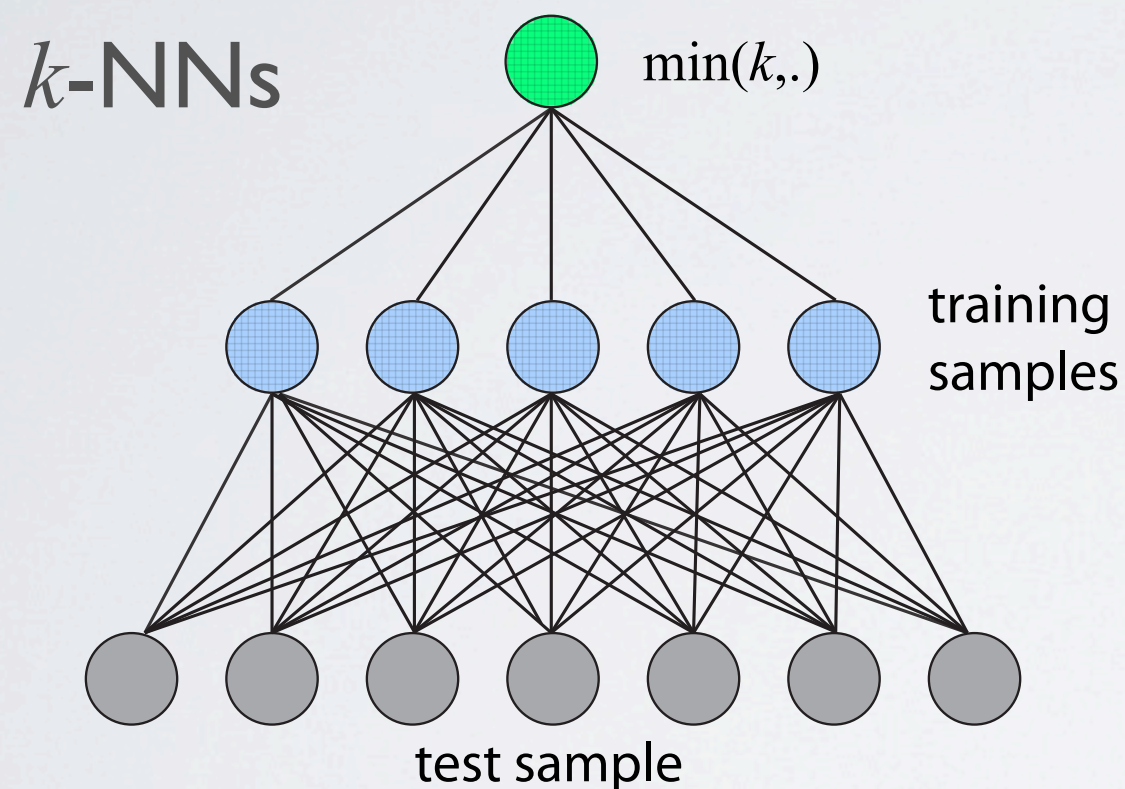
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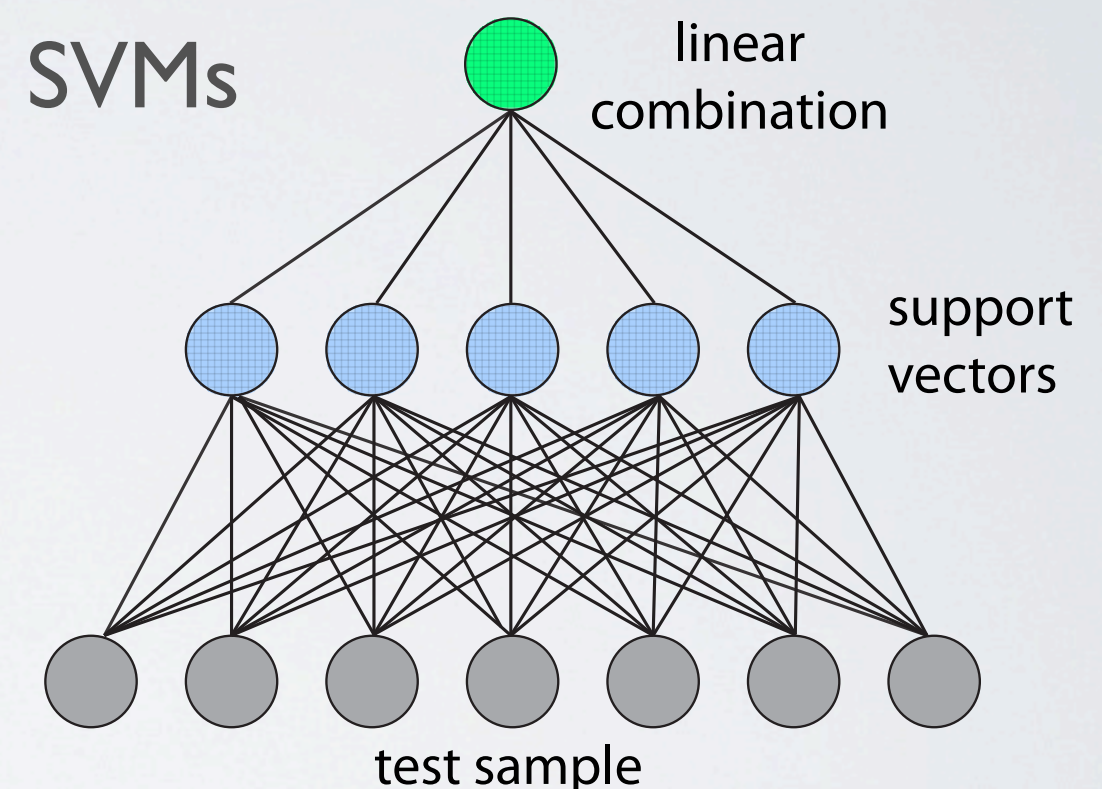
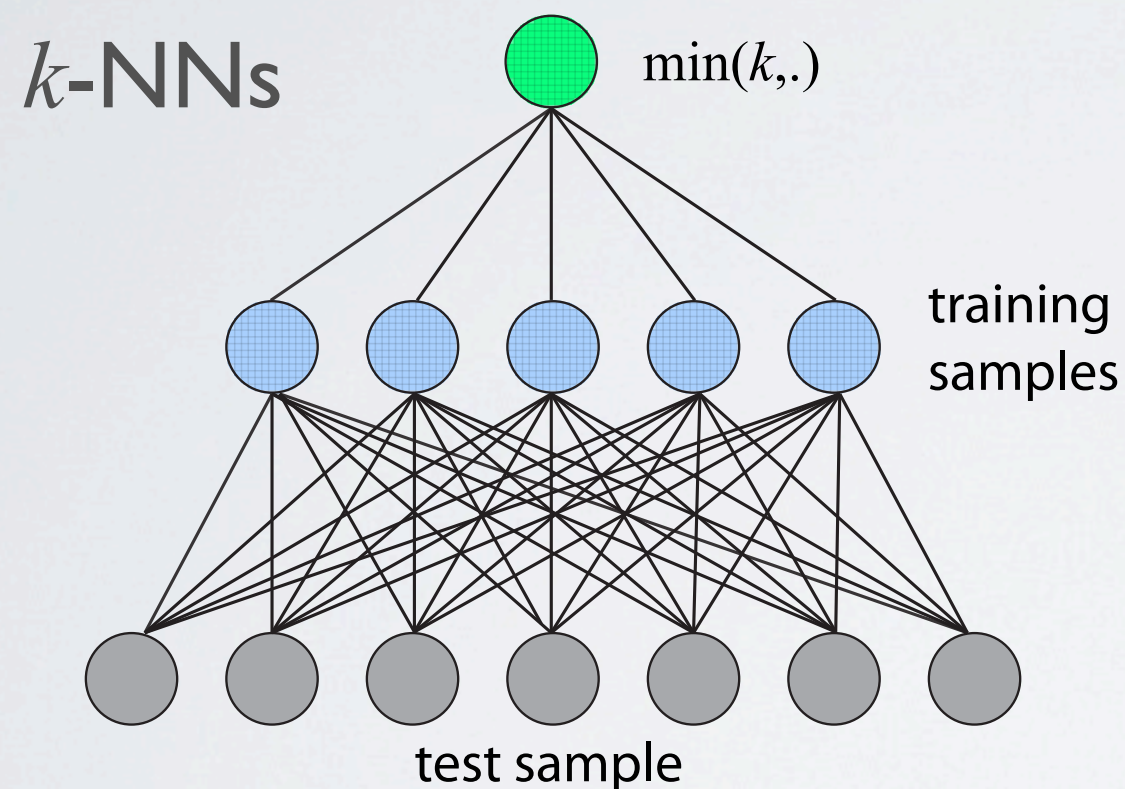
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# THE IMPORTANCE OF DEPTH

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but the required number of nodes in the graph may grow very large

# THE IMPORTANCE OF DEPTH

functions representable compactly  
with  $k$  layers may require exponential  
size with  $k-1$  layers

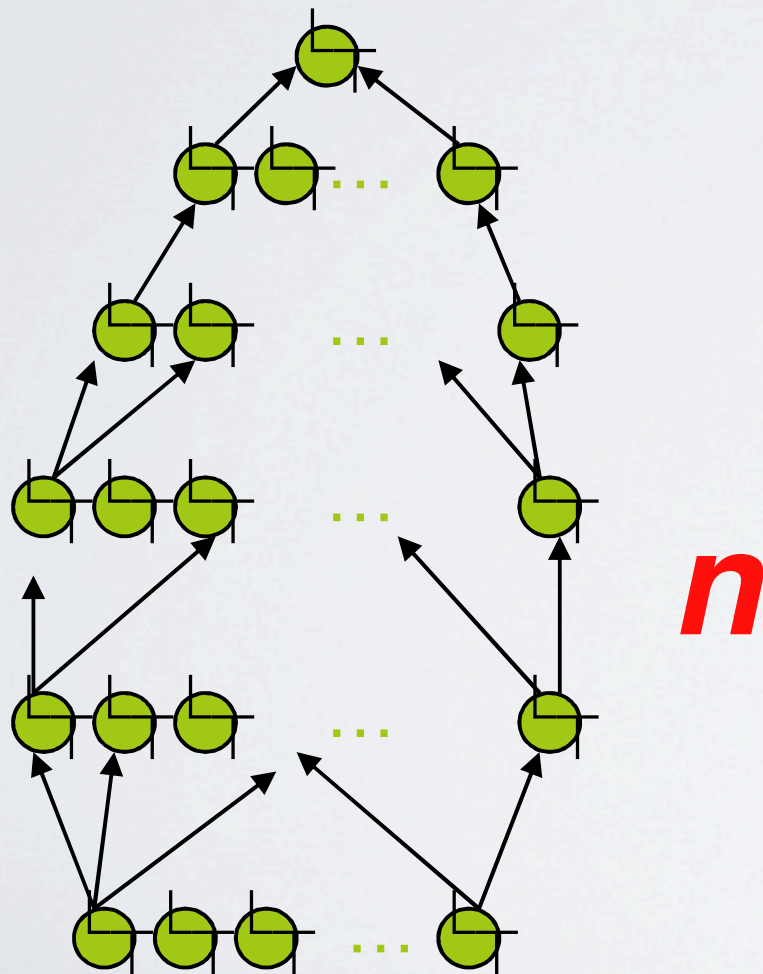
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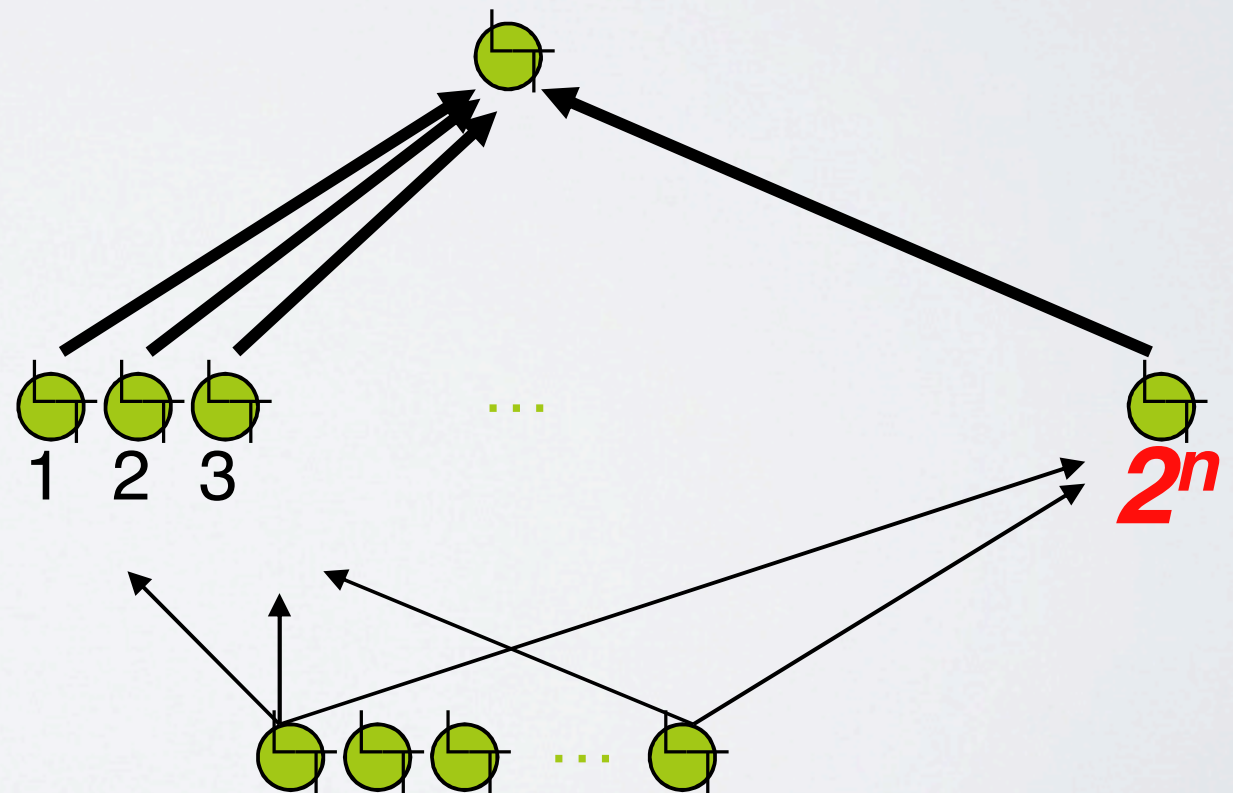
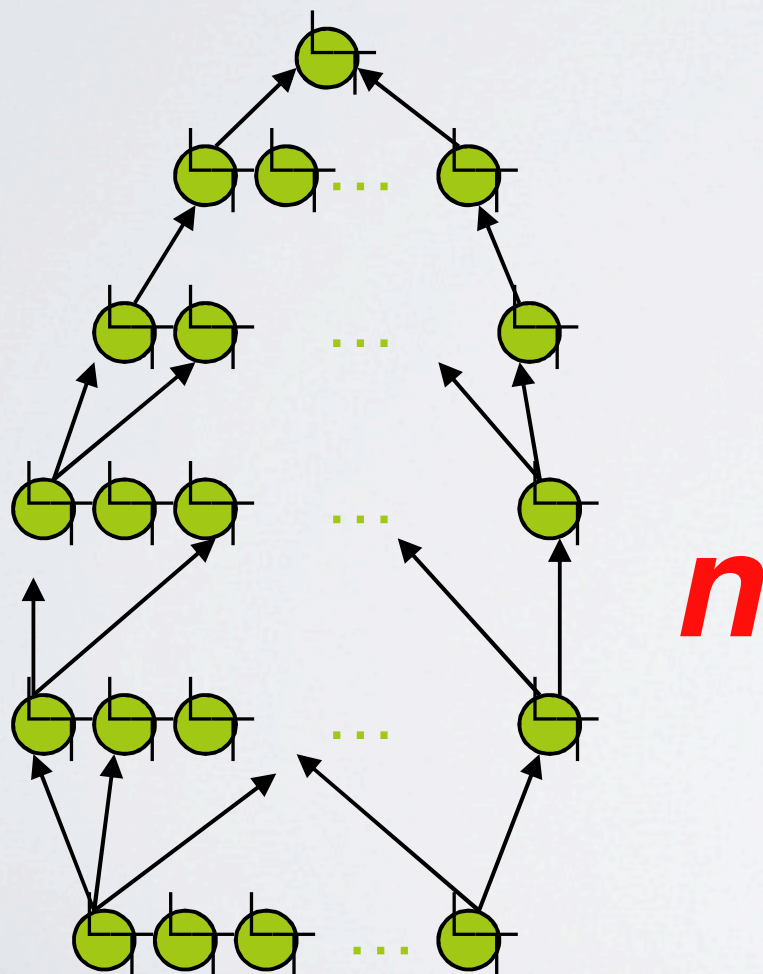
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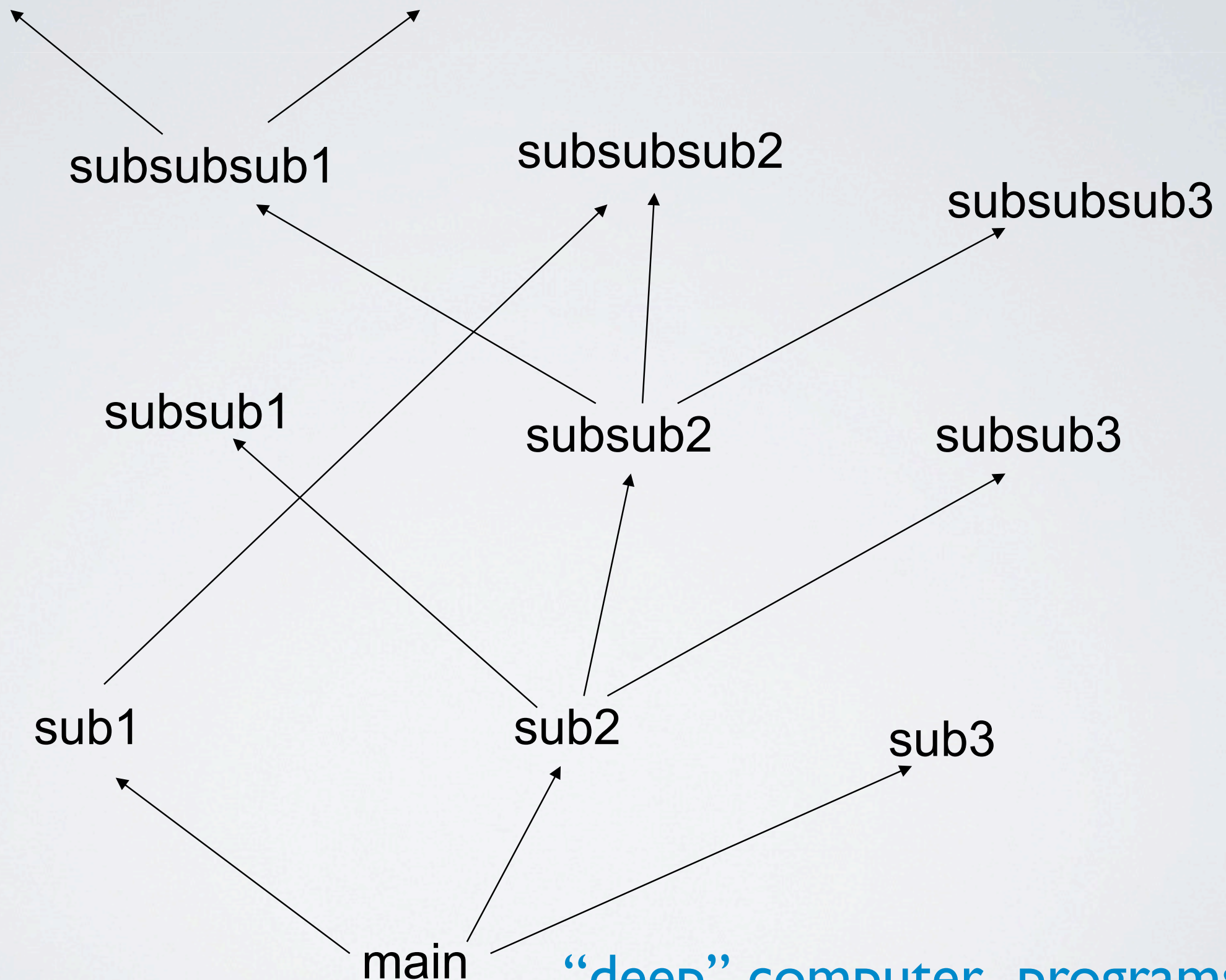
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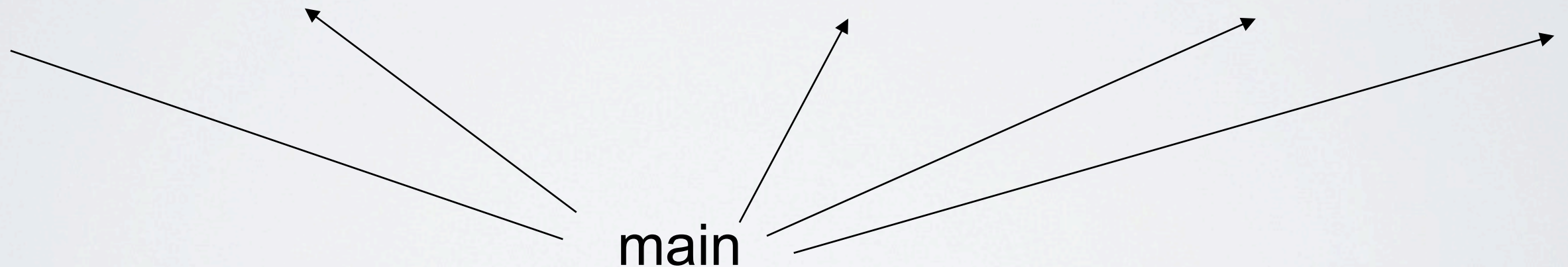
# INTUITION ON DEPTH



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subroutine1 includes  
subsub1 code and  
subsub2 code and  
subsubsub1 code

subroutine2 includes  
subsub2 code and  
subsub3 code and  
subsubsub3 code and ...

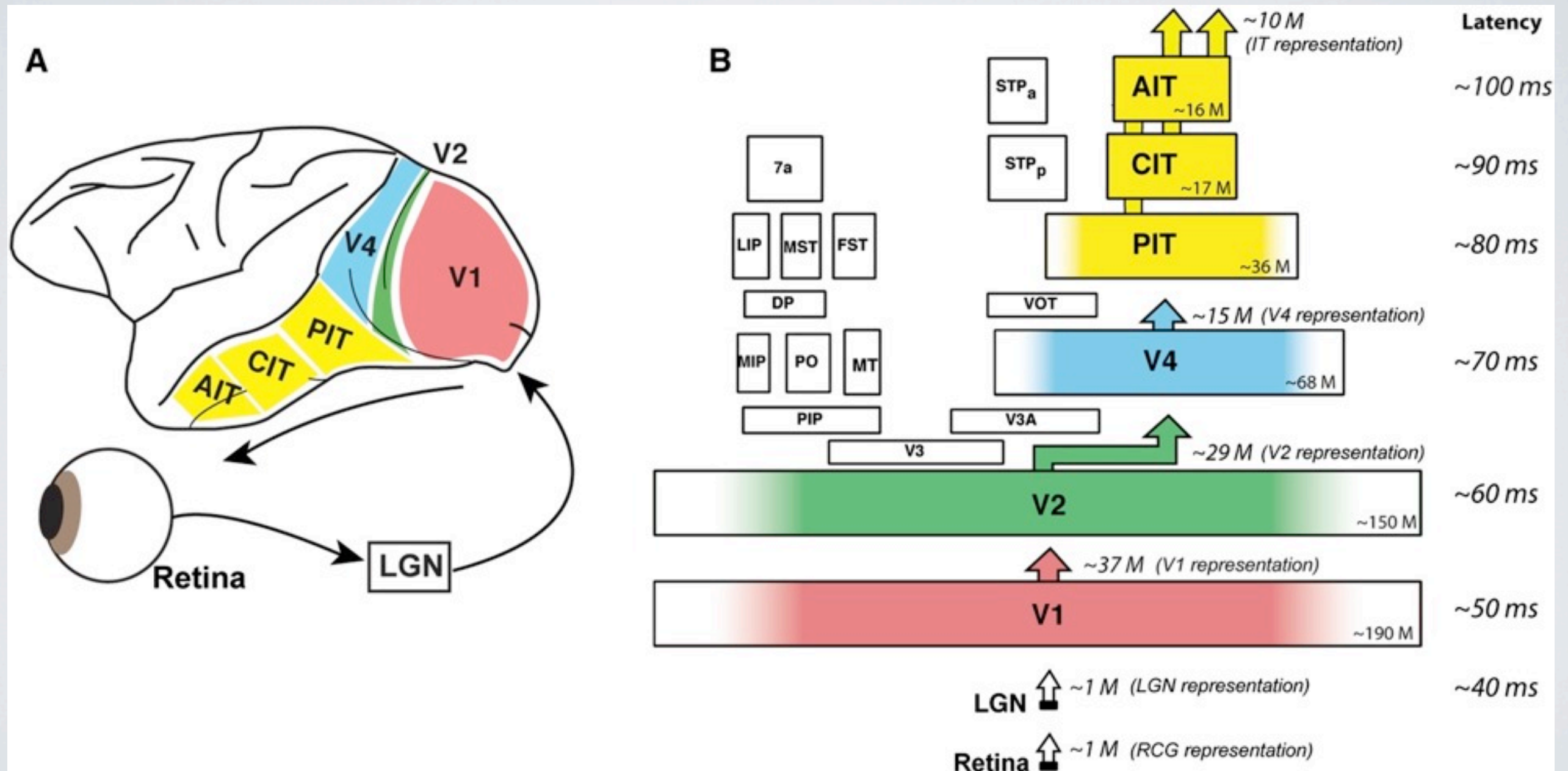


“shallow” computer programs



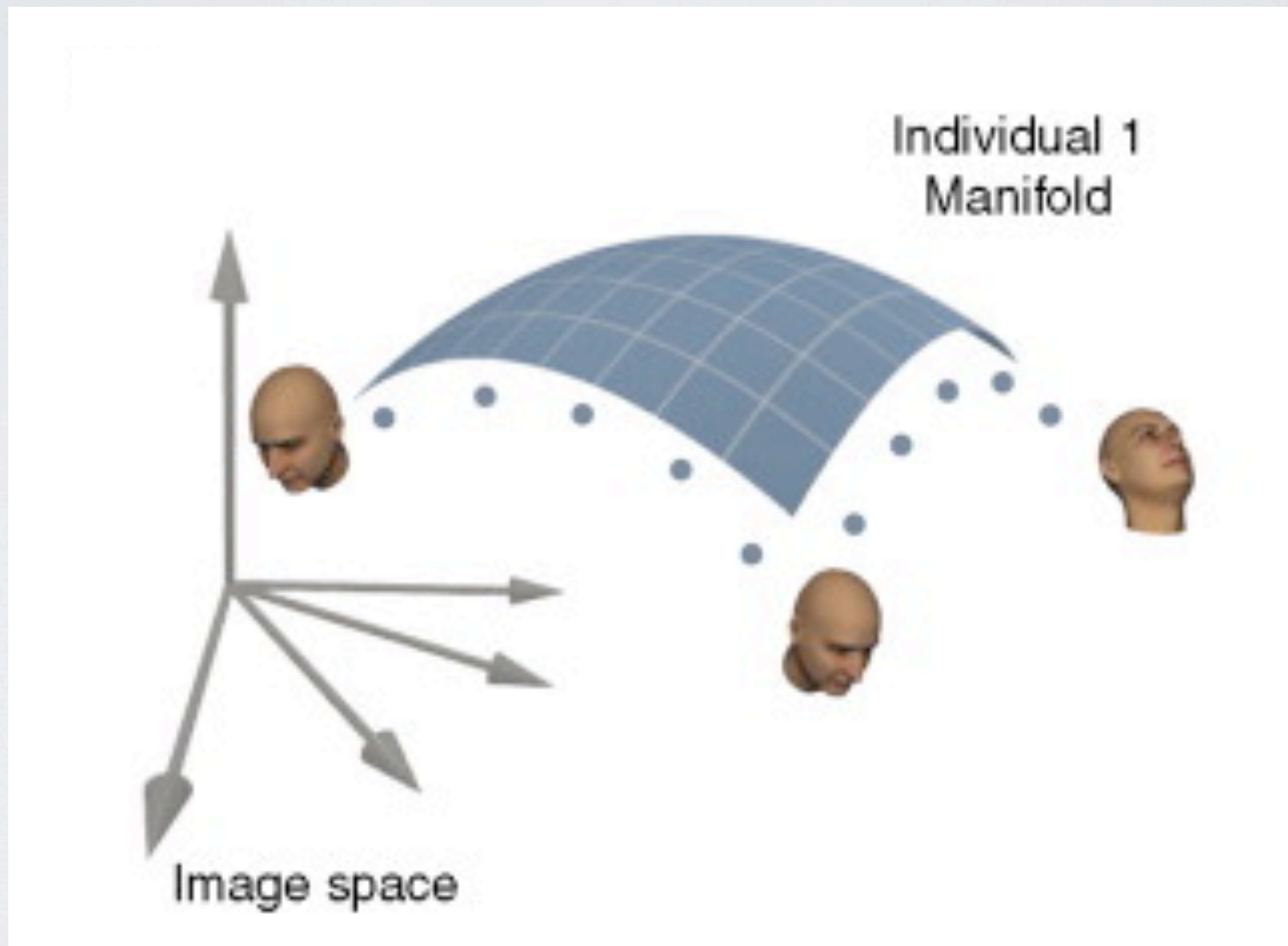
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brain has a deep architecture



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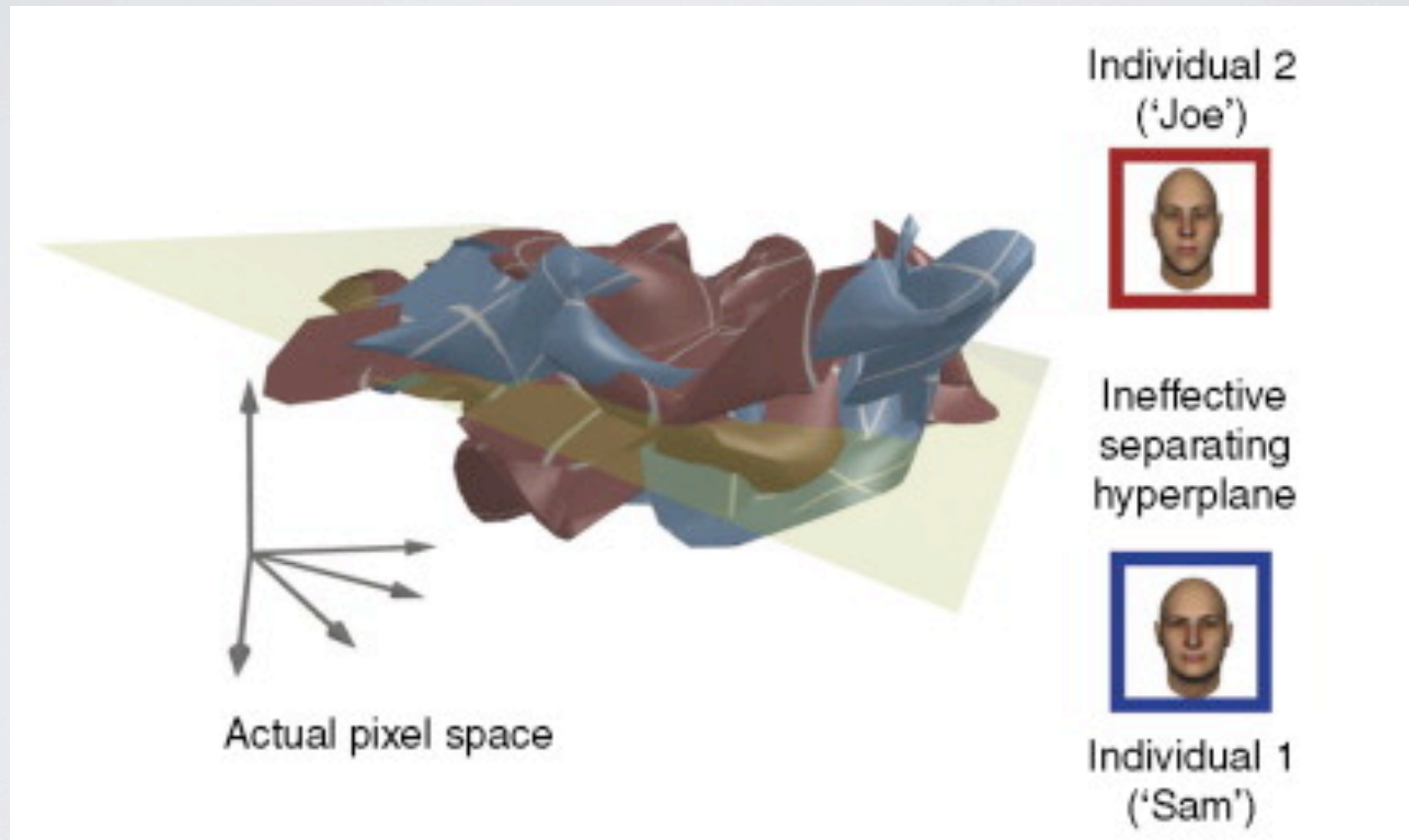
composing concepts | disentangling information





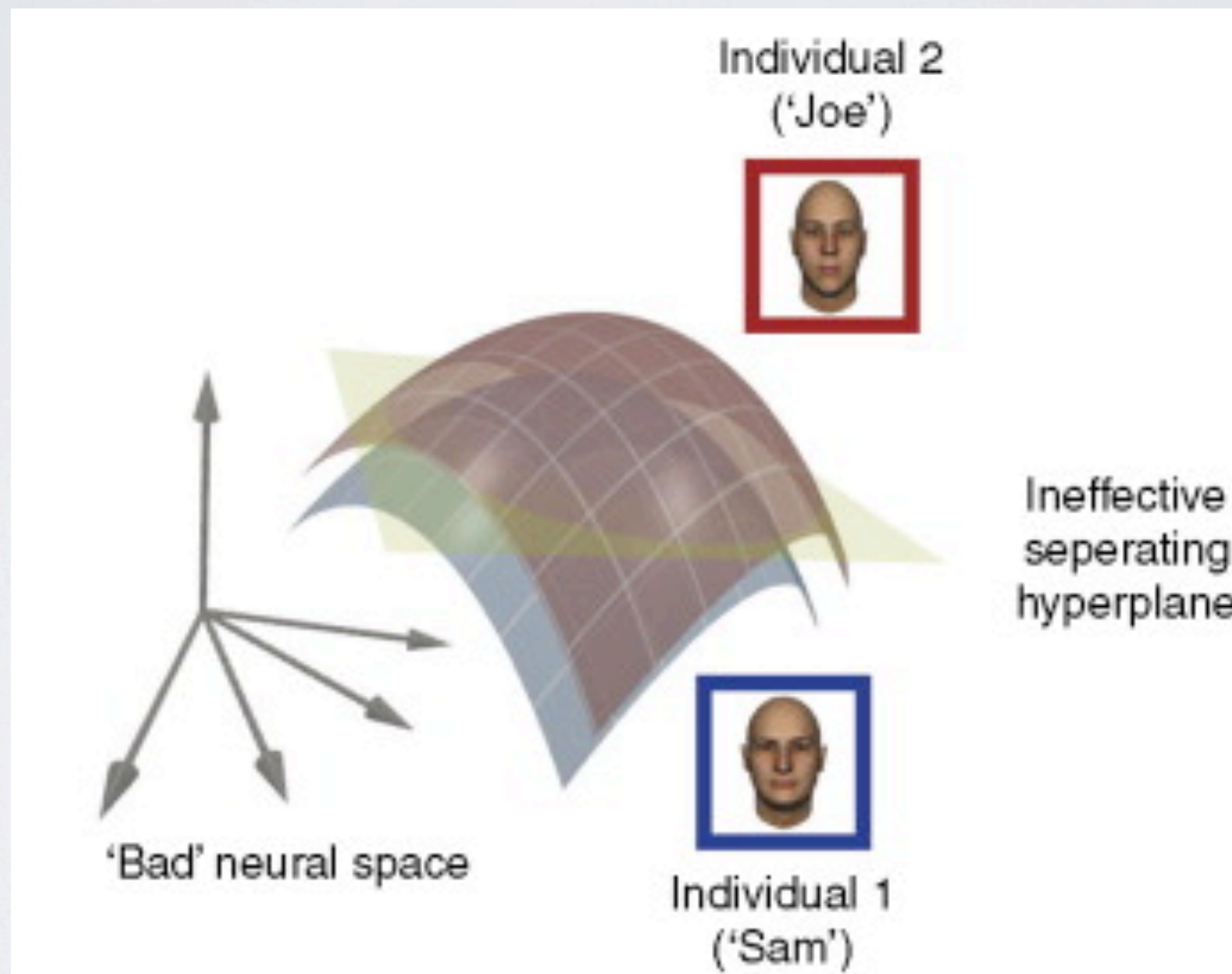
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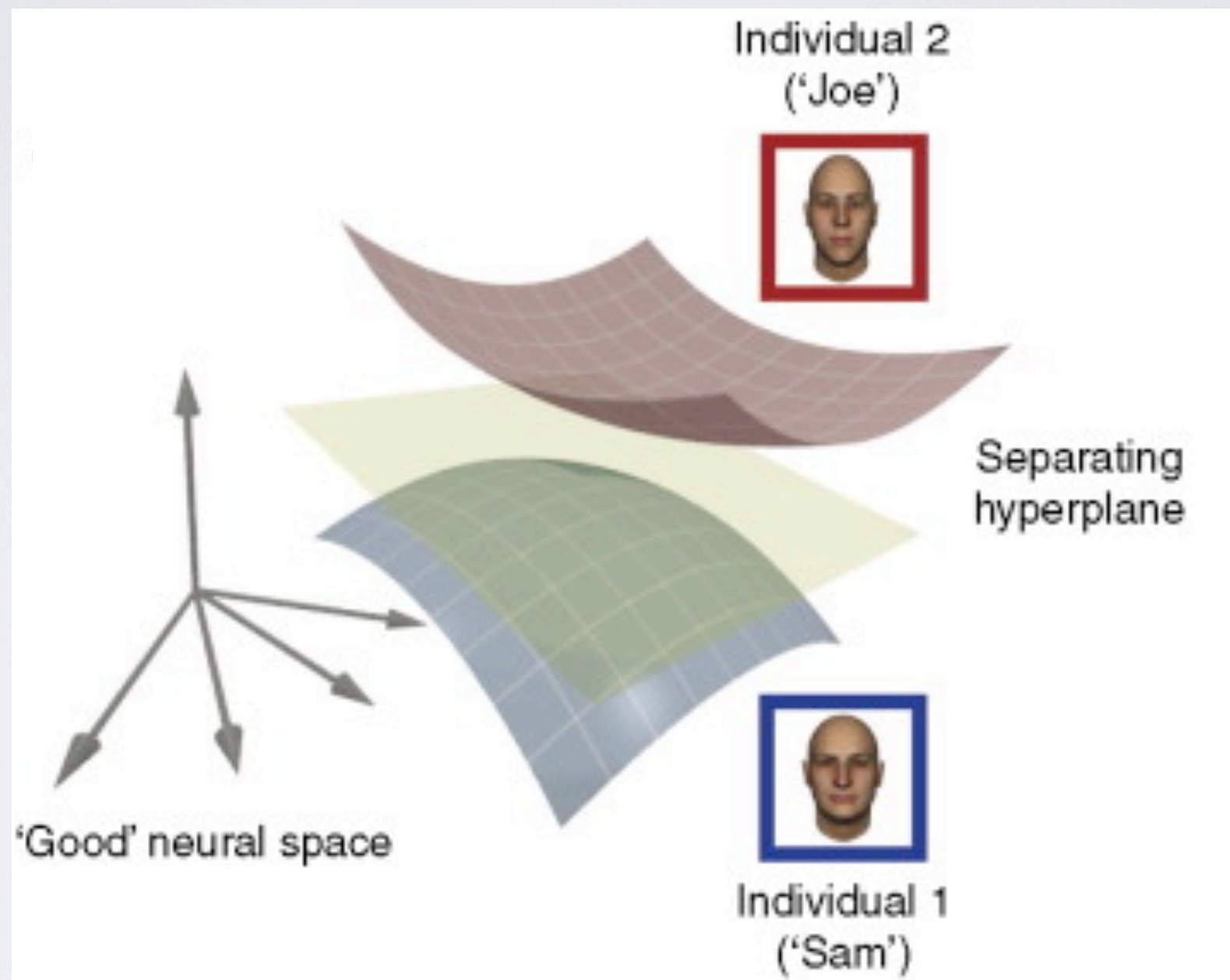
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# AFTER ALL

## WHAT'S DEEP LEARNING?



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“When there is more than one hidden layer being learned, this is deep learning.”

**Geoffrey Hinton**, Coursera class

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## HOW DEEP?



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## WHAT'S DEEP LEARNING?

“When there is more than one hidden layer being learned, this is deep learning.”

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## HOW DEEP?

“When the number of levels can be data selected, this is a deep architecture.”

Yoshua Bengio, SSTiC 2013

# NEURAL NETWORKS RENAISSANCE

In 2006...



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In 2006...

**autoencoders**

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# AUTOENCODER NEURAL NETS

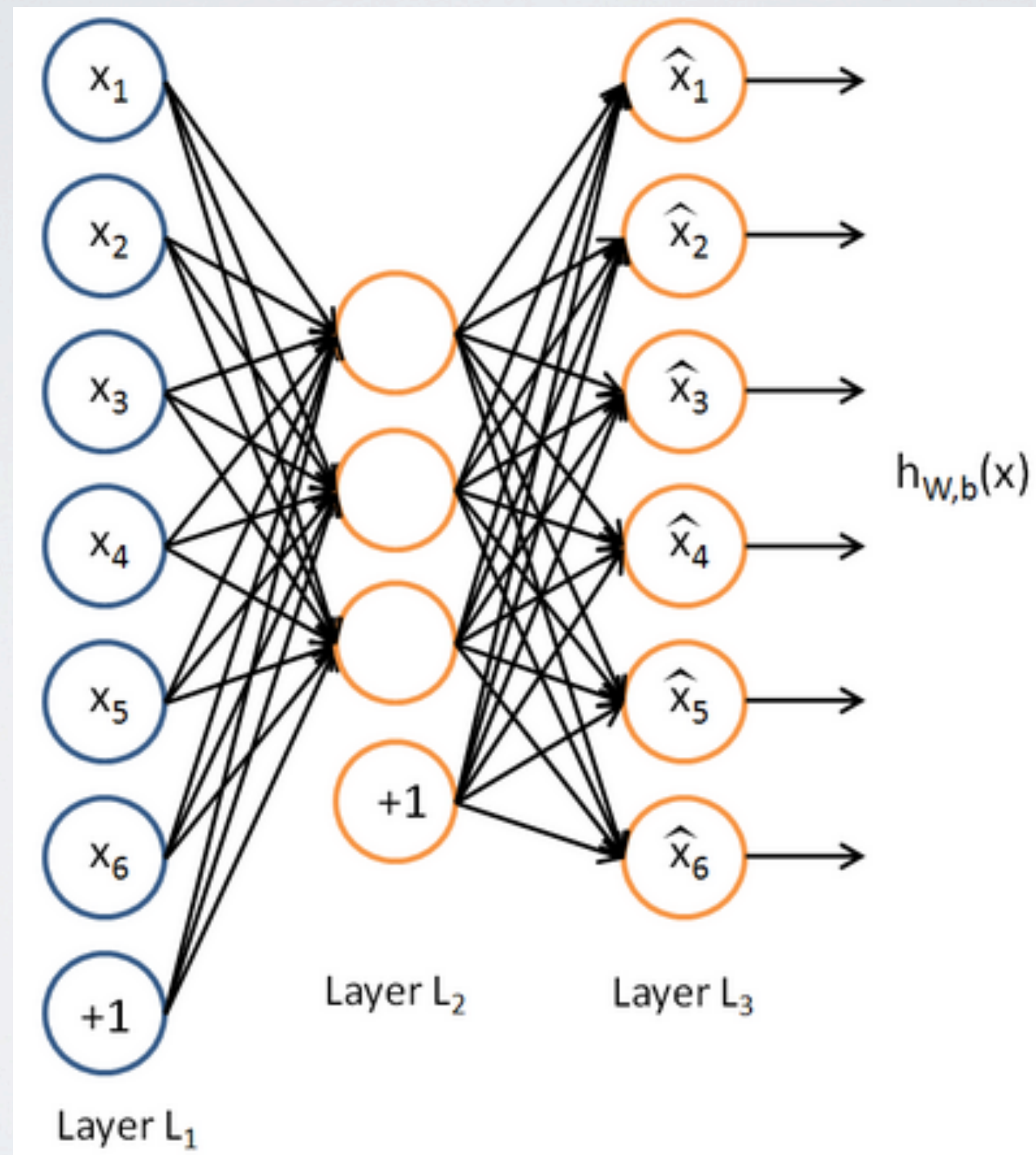


# AUTOENCODER NEURAL NETS

Is an unsupervised learning algorithm that applies **backpropagation**, setting the target values to be equal to the inputs.

$$\hat{f}_{\theta}(x) \approx (x)$$

# AUTOENCODER NEURAL NETS





# AUTOENCODER NEURAL NETS

tries to learn an approximation to the identity function

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the network is usually forced to learn a **compressed** representation of the input



# AUTOENCODER NEURAL NETS

tries to learn an approximation to the identity function

the network is usually forced to learn a **compressed** representation of the input

tries to discover structure in the data

# AUTOENCODER NEURAL NETS

following the notation of previous lectures, we can back propagate the reconstruction error by setting

$$\delta_j^{(3)} = -(x_j - a_j^{(3)}) \cdot g'(z^{(3)})$$

$$\delta^{(2)} = ((\theta^{(2)})^T \delta^{(3)}) \cdot g'(z^{(2)})$$

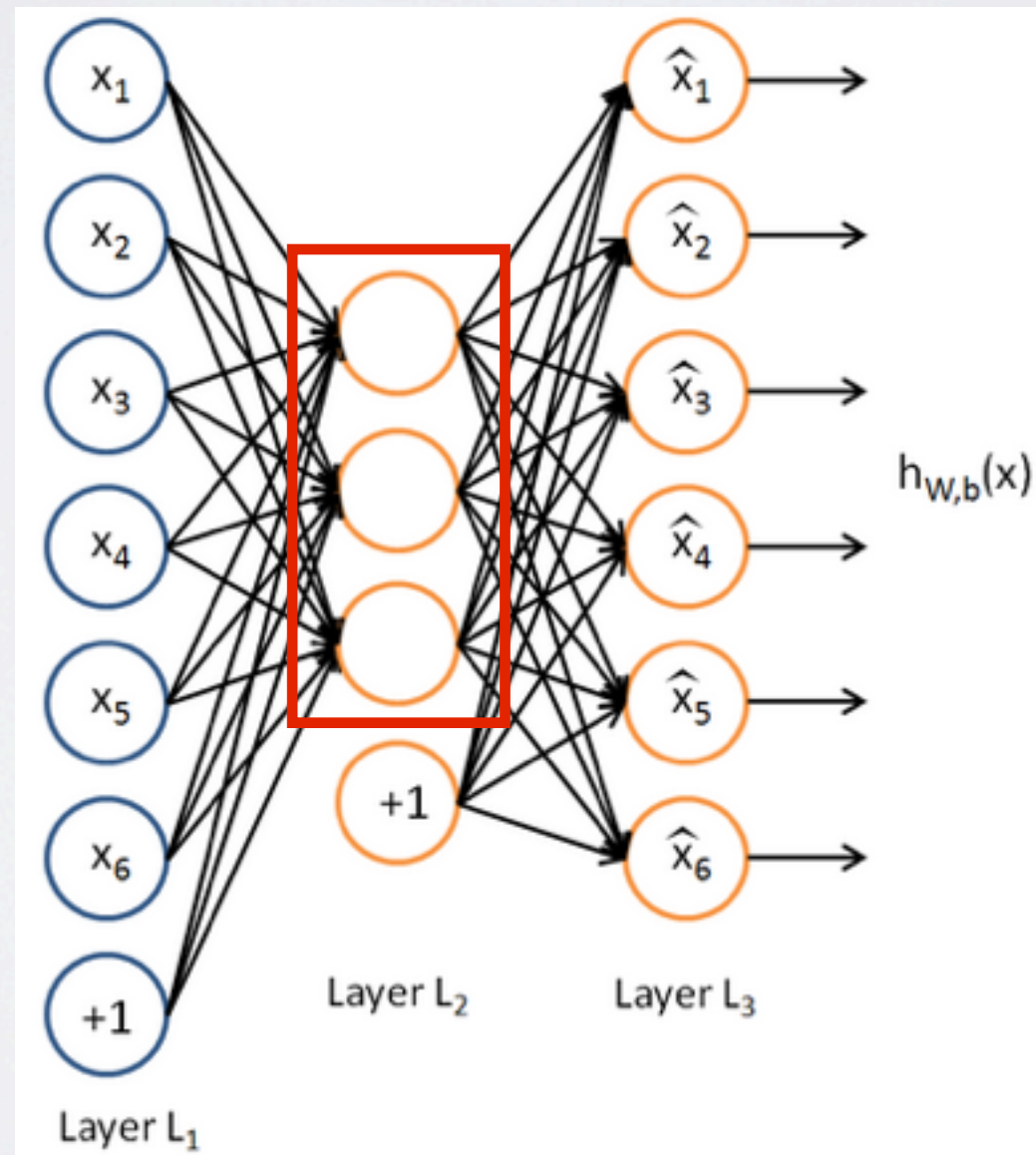


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let

$$\hat{\rho} = \frac{1}{m} \sum_{i=1}^m [a_j^{(2)}(x^{(i)})]$$

be the average activation of the hidden unit  $j$   
(averaged over the training set)

# AUTOENCODER NEURAL NETS

we would like to (approximately) enforce

$$\hat{\rho} = \rho$$



# AUTOENCODER NEURAL NETS

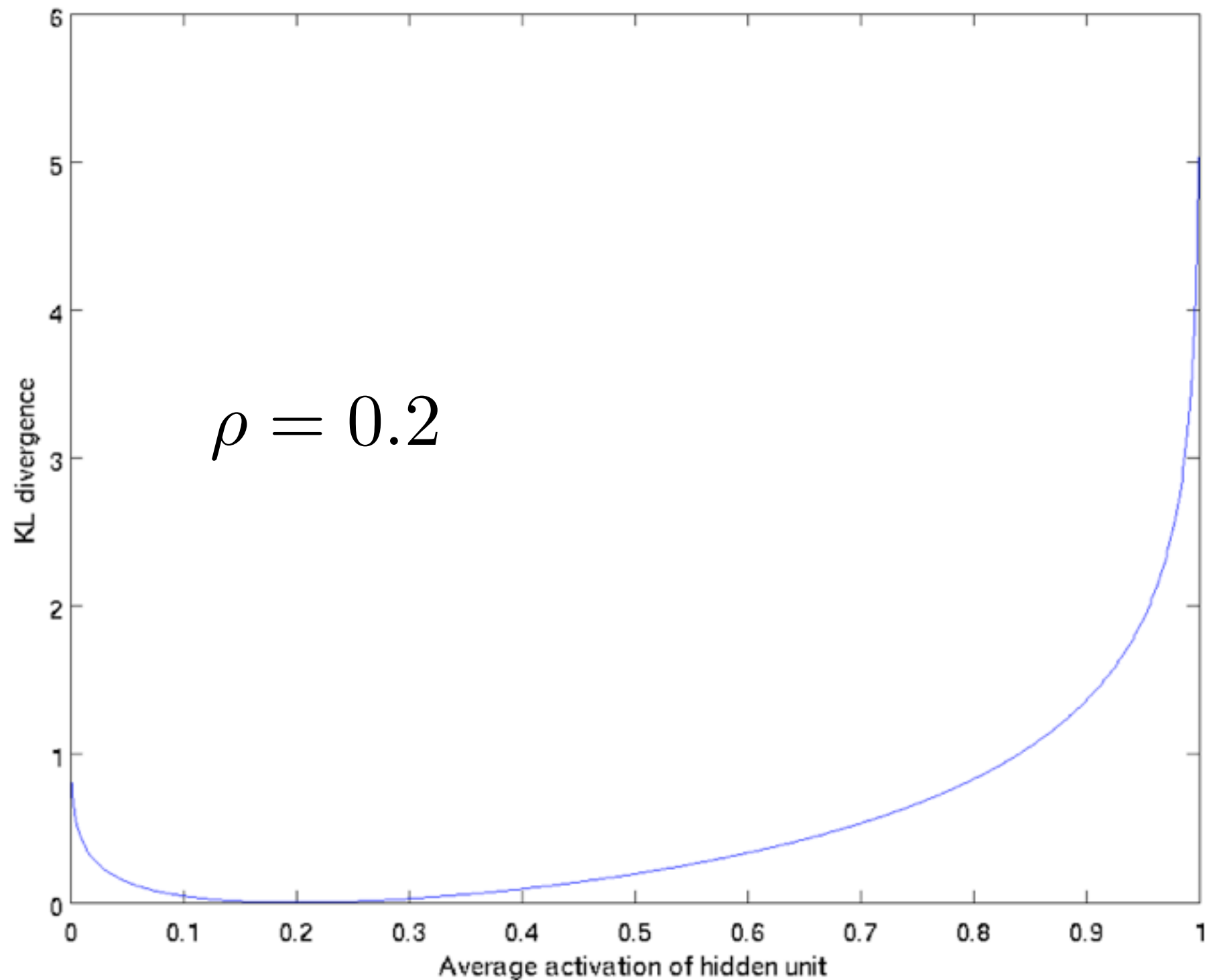
we would like to (approximately) enforce

$$\hat{\rho} = \rho$$

a possible choice of of penalty to  
add in the optimization objective is

$$\sum_{j=1}^{s_2} \rho \log \frac{\rho}{\hat{\rho}_j} + (1 - \rho) \log \frac{1 - \rho}{1 - \hat{\rho}_j} = \sum_{j=1}^{s_2} \text{KL}(\rho || \hat{\rho}_j)$$

# AUTOENCODER NEURAL NETS





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the objective function then becomes

$$J_{\text{sparse}}(\theta) = J(\theta) + \beta \sum_{j=1}^{s_2} \text{KL}(\rho || \hat{\rho}_j)$$

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the objective function then becomes

$$J_{\text{sparse}}(\theta) = J(\theta) + \beta \sum_{j=1}^{s_2} \text{KL}(\rho || \hat{\rho}_j)$$

and

$$\delta_i^{(2)} = ((\theta_i^{(2)})^T \delta_i^{(3)}) \cdot * g'(z_i^{(2)}) + \beta \left( -\frac{\rho}{\hat{\rho}_i} + \frac{1 - \rho}{1 - \hat{\rho}_i} \right)$$

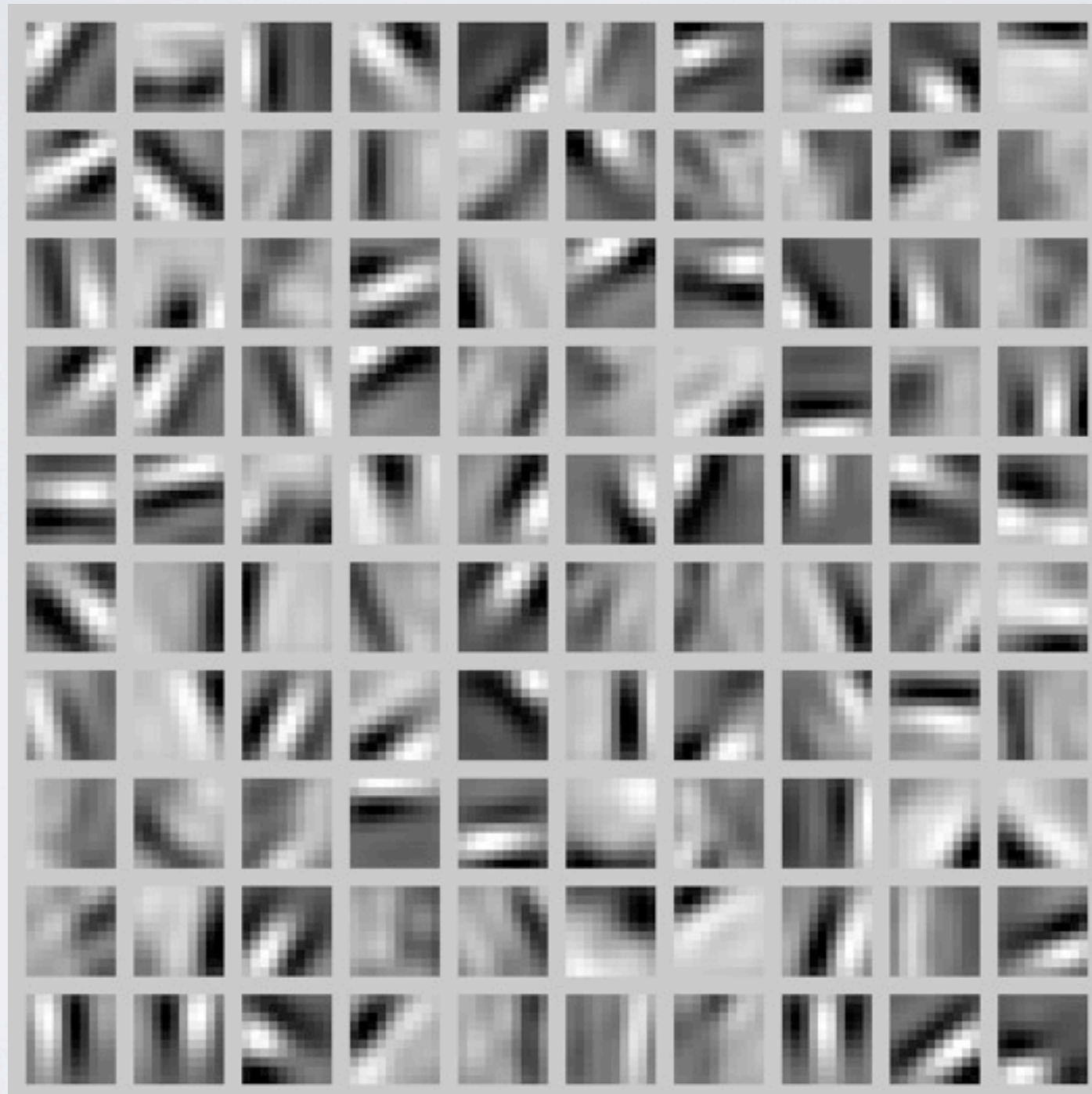


# AUTOENCODER NEURAL NETS

visualizing the function learned from image patches

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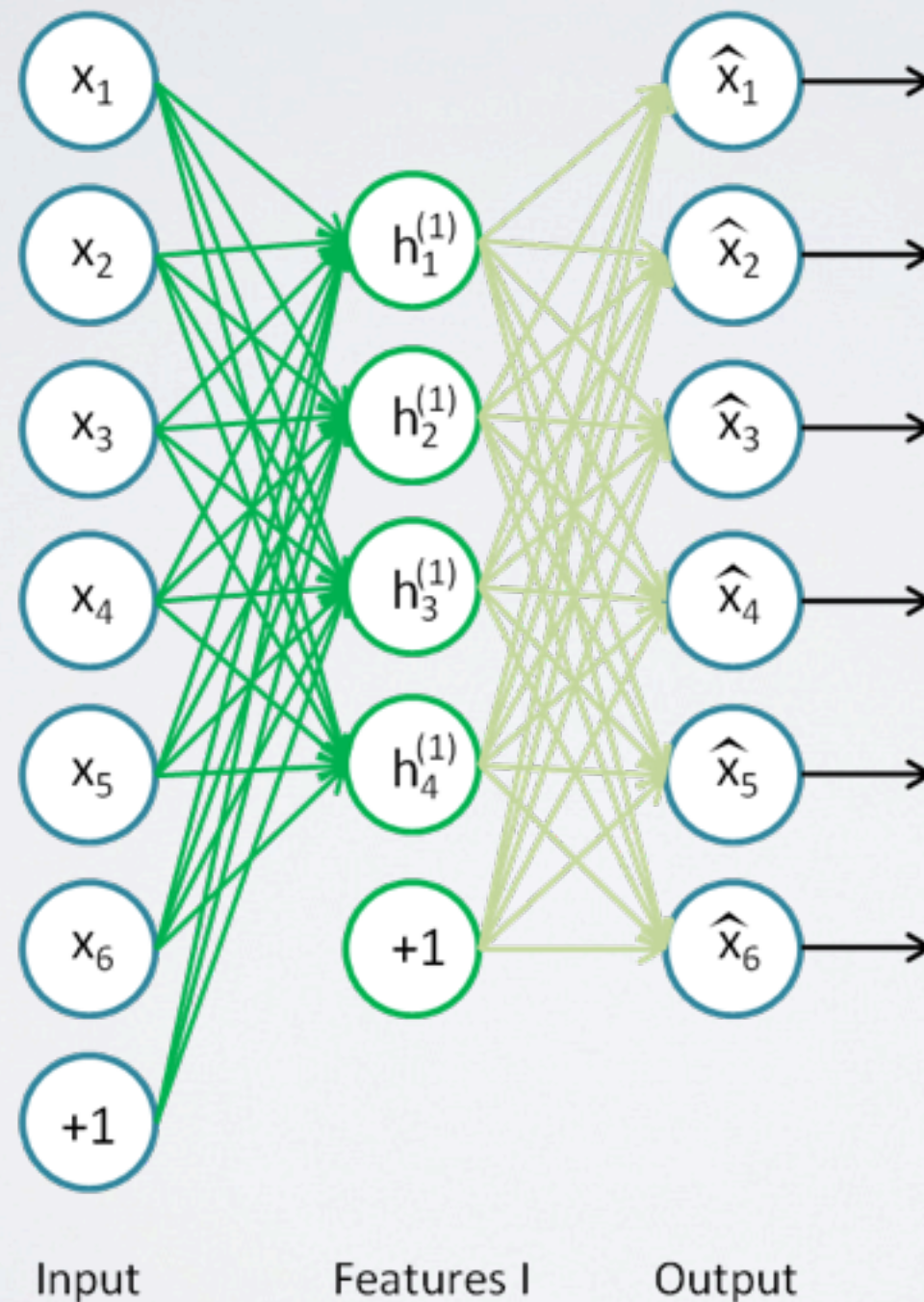
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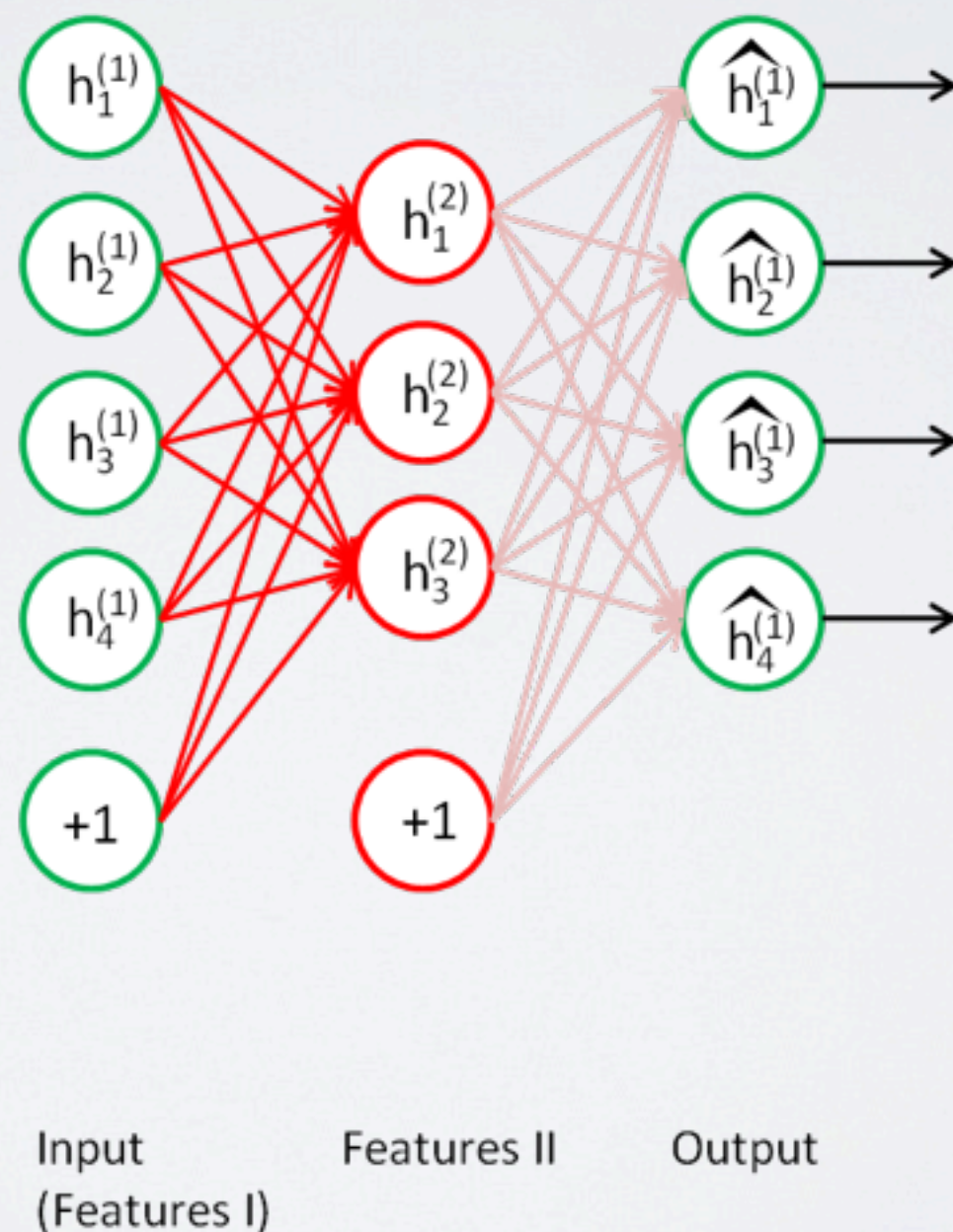
# STACKED AUTOENCODERS

a NN consisting of multiple layers of autoencoders



# STACKED AUTOENCODERS

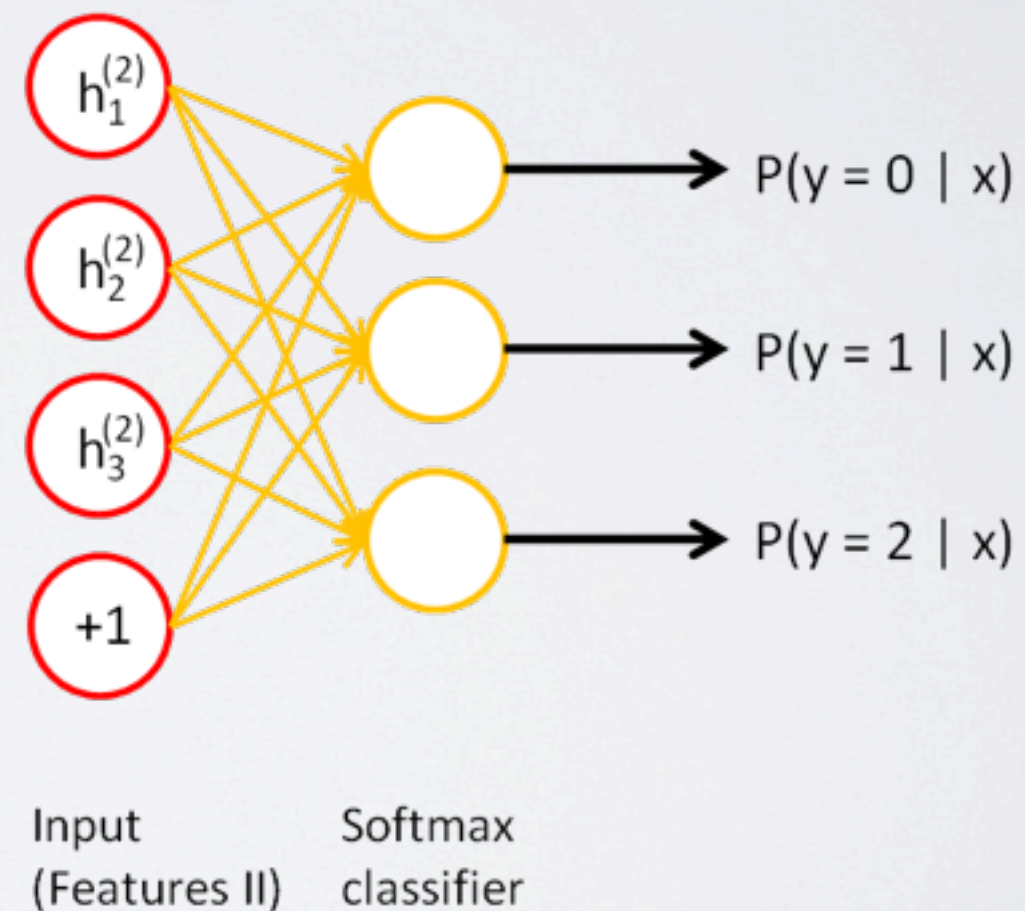
a NN consisting of multiple layers of autoencoders





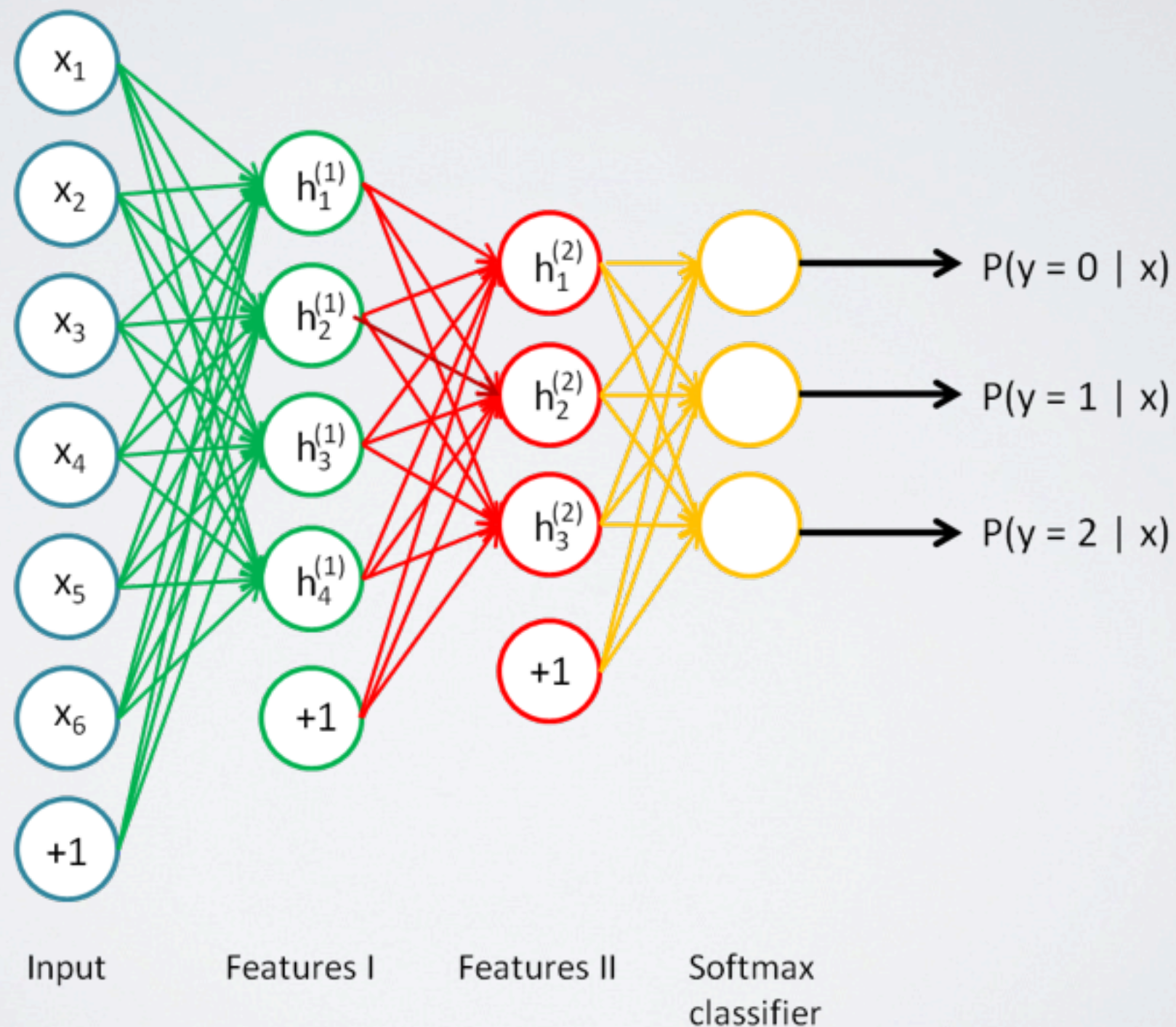
# STACKED AUTOENCODERS

a NN consisting of multiple layers of autoencoders



# STACKED AUTOENCODERS

a NN consisting of multiple layers of autoencoders





# UNSUPERVISED PRE-TRAINING

## BEFORE

deep architectures performed poorly

# UNSUPERVISED PRE-TRAINING

## BEFORE

deep architectures performed poorly

## AFTER

state-of-the-art results

BUT...



# ILSVRC2012 WINNER

convolutional neural networks

Lecun et al., 1989

max-pooling layers

Fukushima, 1980

60 million parameters

non-saturating neurons

efficient GPU implementation

"dropout"

# ILSVRC2012 WINNER

convolutional neural networks  
max-pooling layers  
60 million parameters  
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## NO PRE-TRAINING AT ALL!



# ILSVRC2012 WINNER

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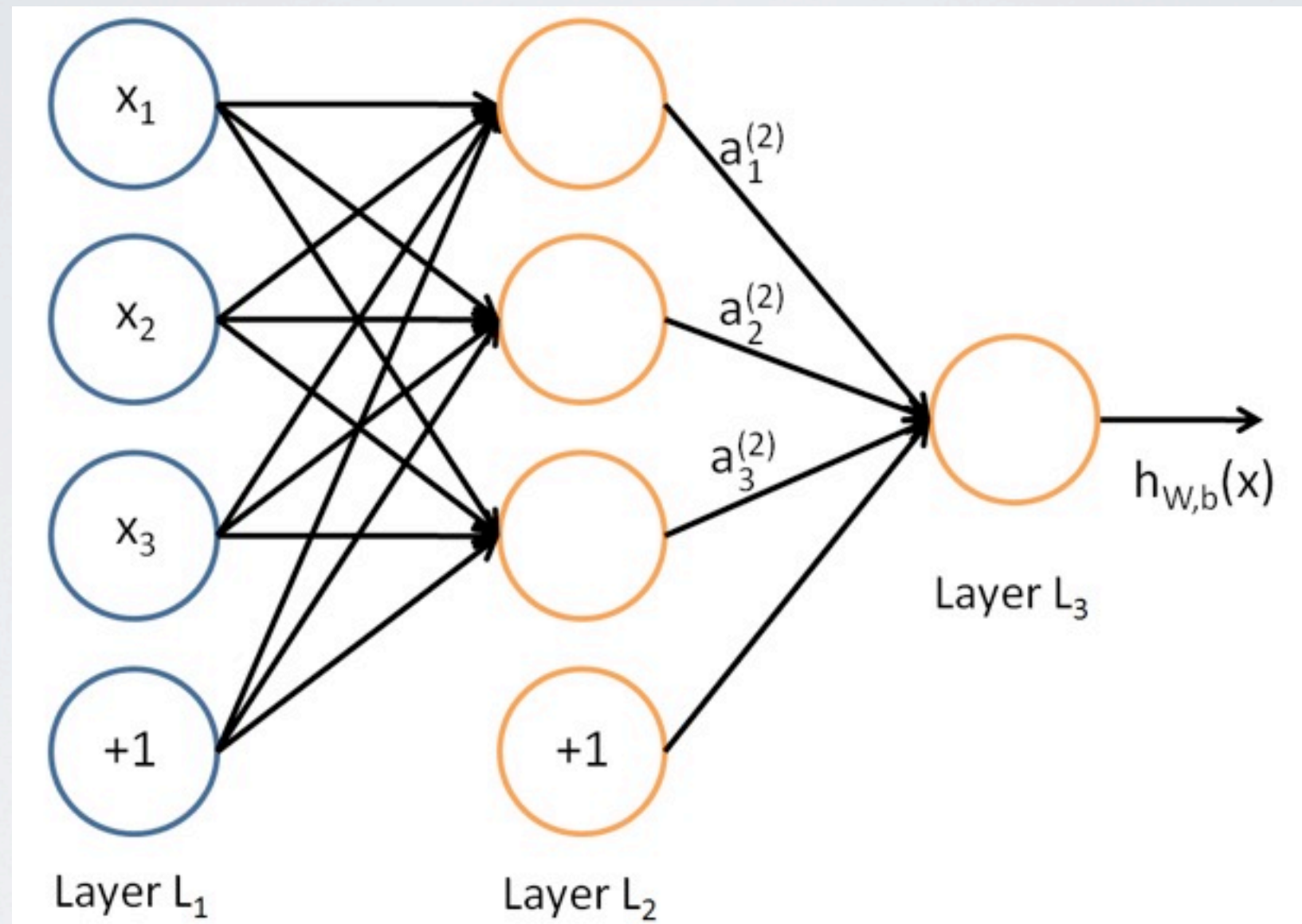
60 million parameters  
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NO PRE-TRAINING AT ALL!

# CONVOLUTIONAL NEURAL NETWORKS



# FULLY-CONNECTED NNS



# CONVOLUTIONAL NNS

inspired by Hubel and Wiesel cells



# CONVOLUTIONAL NNS

inspired by Hubel and Wiesel cells

simple

complex

# CONVOLUTIONAL NNS

inspired by Hubel and Wiesel cells

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responds **maximally** to specific **local stimulus**

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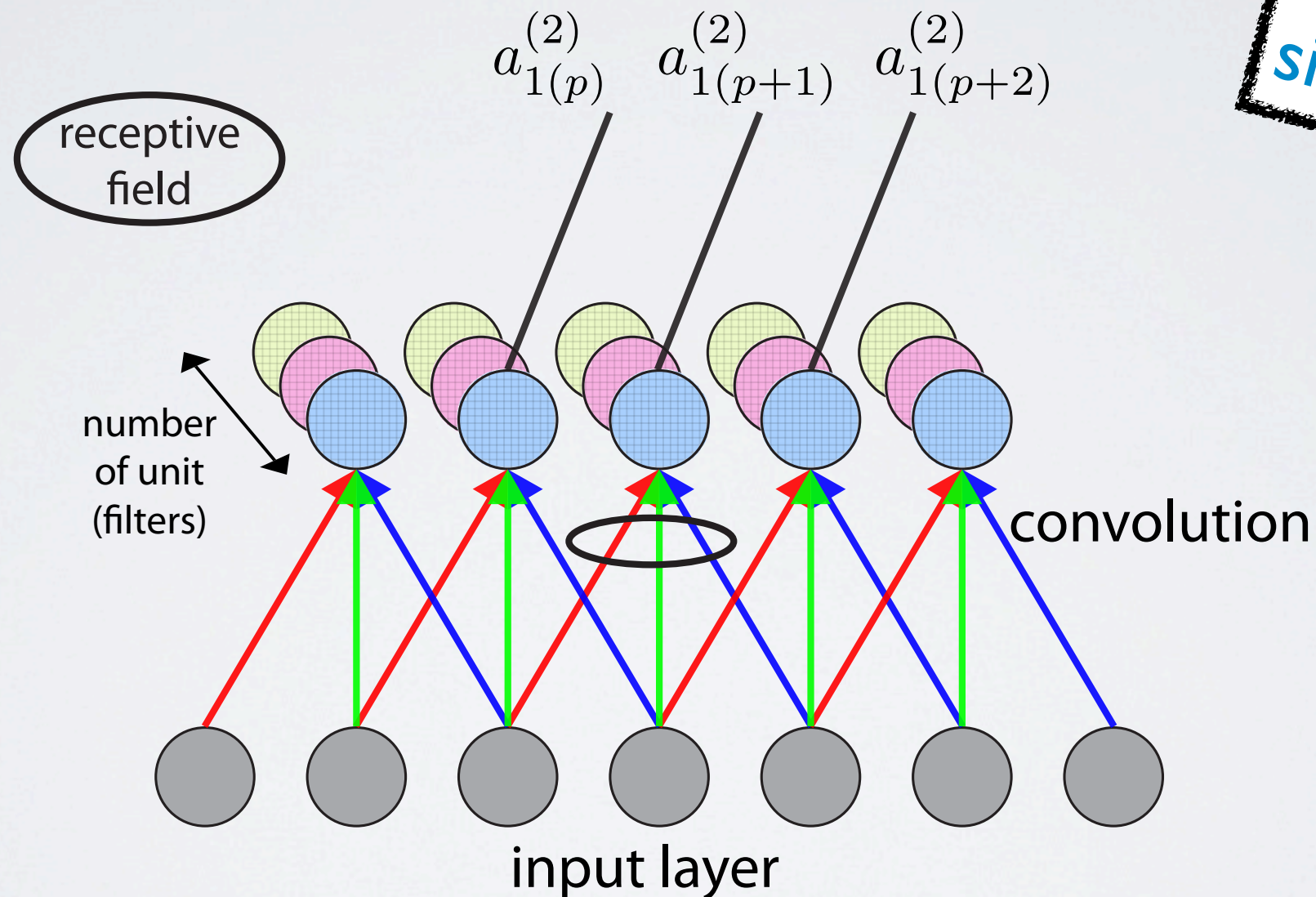
complex

**local invariance** to the exact position of stimulus

# CONVOLUTIONAL NNS

shared (tied) weights

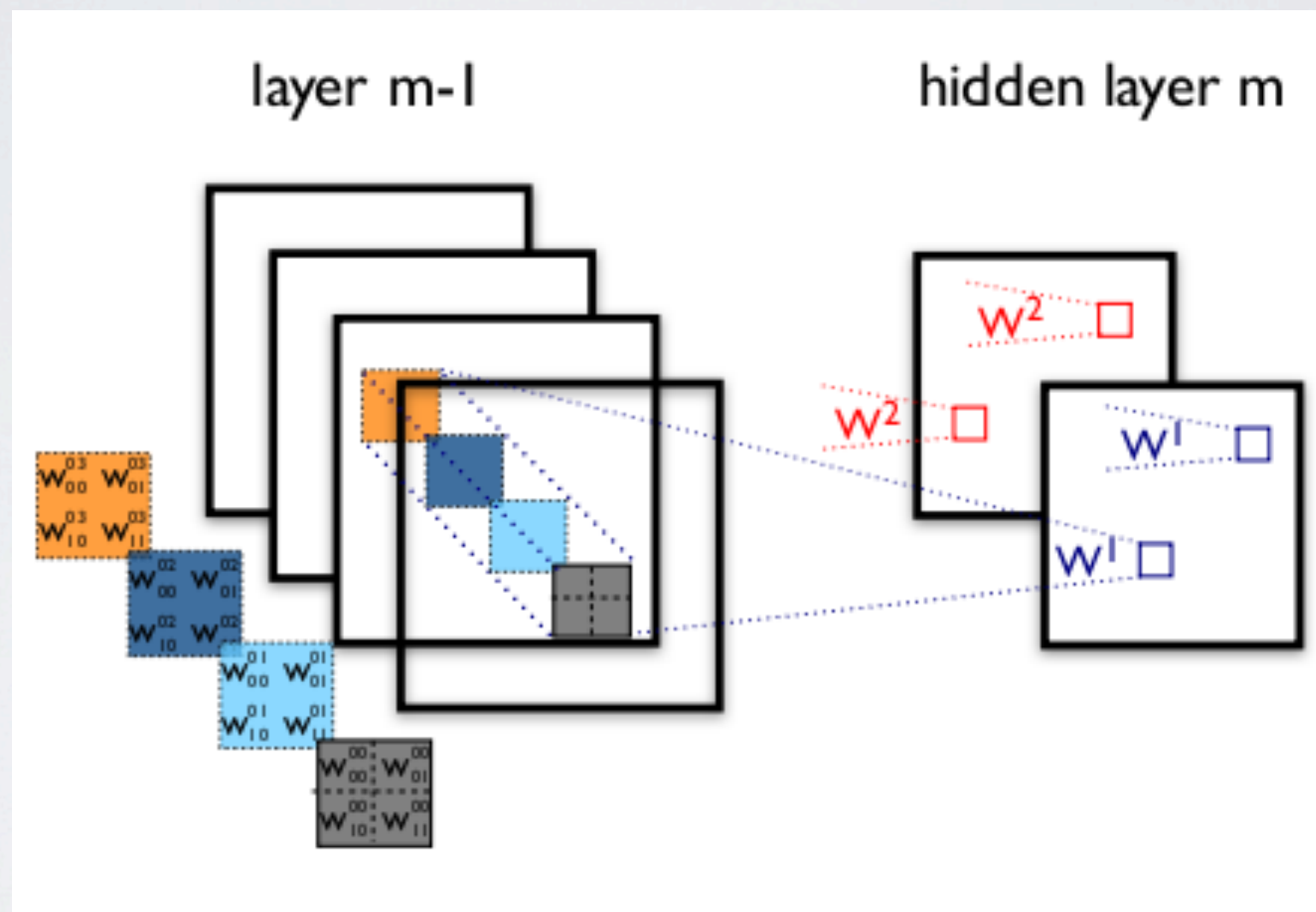
mimicking  
simple cells





# CONVOLUTIONAL NNS

shared (tied) weights



# CONVOLUTIONAL NNS

shared (tied) weights

$$\frac{\partial}{\partial \theta_{ij}} J(\theta) = \sum_{p \in \mathcal{P}} \left[ a_{j(p)}^{(l)} \delta_i^{(l+1)} \right]$$

$\mathcal{P}$  is the set of all positions where  $\theta_i$  is convolved



# CONVOLUTIONAL NNS

shared (tied) weights

1 <sub>x1</sub>	1 <sub>x0</sub>	1 <sub>x1</sub>	0	0
0 <sub>x0</sub>	1 <sub>x1</sub>	1 <sub>x0</sub>	1	0
0 <sub>x1</sub>	0 <sub>x0</sub>	1 <sub>x1</sub>	1	1
0	0	1	1	0
0	1	1	0	0

Image

4		

Convolved  
Feature

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# CONVOLUTIONAL NNS

max (or average) pooling units

mimicking  
complex cells

$$g(a_j) = \max(a_{j,(p)}) \quad \forall p \in \mathcal{N}$$

where  $\mathcal{N}$  defines the pooling regions  
that may or may not overlapped



# CONVOLUTIONAL NNS

max (or average) pooling units

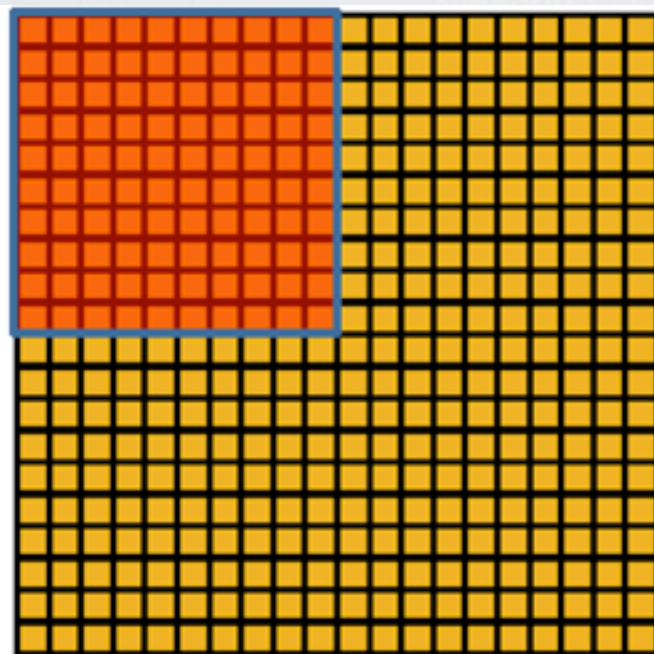
mimicking  
complex cells

$$g(a_j) = \max(a_{j,(p)}) \quad \forall p \in \mathcal{N}$$

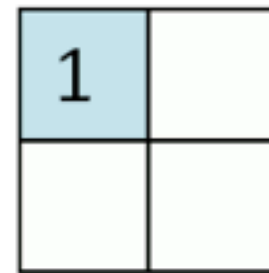
where  $\mathcal{N}$  defines the pooling regions receptive field  
that may or may not overlapped

# CONVOLUTIONAL NNS

max (or average) pooling units



Convolved  
feature

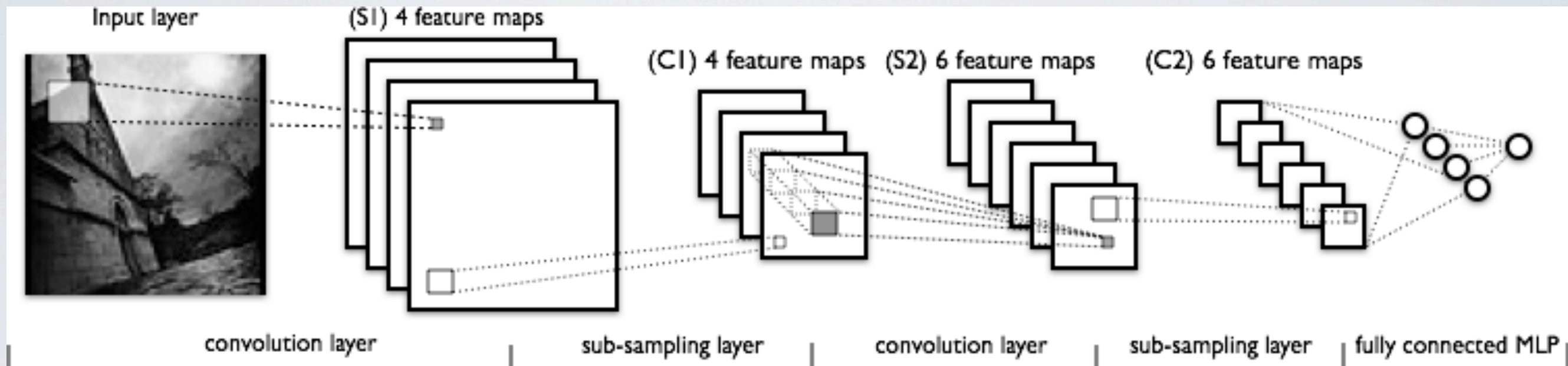


Pooled  
feature



# CONVOLUTIONAL NETS

convolution + pooling



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# CONVOLUTIONAL NNS

non-saturating nonlinearity

rectified linear units

$$g(z^{(l)}) = \max(0, z^{(l)})$$



# CONVOLUTIONAL NNS

non-saturating nonlinearity

rectified linear units

$$g(z^{(l)}) = \max(0, z^{(l)})$$

instead of

$$g(z^{(l)}) = \frac{1}{1 + e^{-z^{(l)}}}$$

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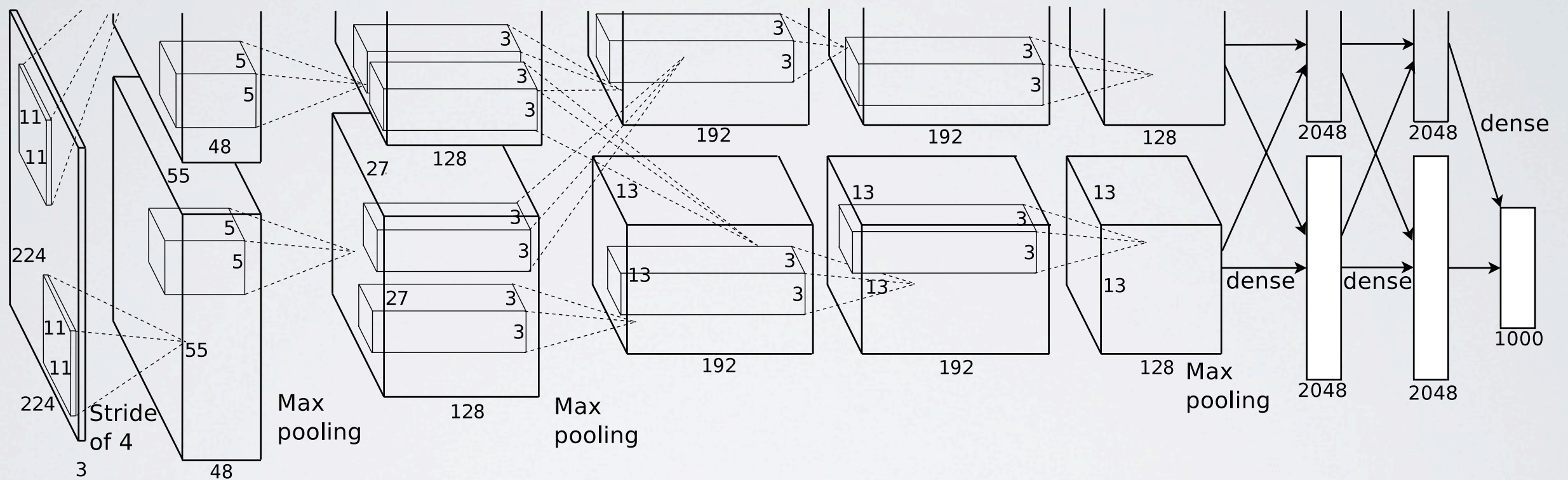
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# THE 60 MILLION PARAMETER ARCHITECTURE






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# CONVOLUTIONAL NNS

dropout regularization recipe

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hidden neuron with probability 0.5



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neurons “dropped out” contribute  
neither in the forward pass nor in back-propagation

# CONVOLUTIONAL NNS

dropout regularization recipe

set to zero the output of each  
hidden neuron with probability 0.5

neurons “dropped out” contribute  
neither in the forward pass nor in back-propagation

at test time, use all the neurons  
but multiply their outputs by 0.5



# CONVOLUTIONAL NNS

dropout regularization implications

# CONVOLUTIONAL NNS

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every time an input is presented,  
the neural network samples a different architecture



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all the sampled architectures share weights

# CONVOLUTIONAL NNS

dropout regularization implications

every time an input is presented,  
the neural network samples a different architecture

all the sampled architectures share weights

reduces complex co-adaptations of neurons



# ILSVRC2012 WINNER

convolutional neural networks  
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## NO PRE-TRAINING AT ALL!

# NO-PRETRAINING AT ALL?



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“if you **initialize the layers correctly**, you may not need pre-training at all, provided you have **enough labeled data**”

# NO-PRETRAINING AT ALL?

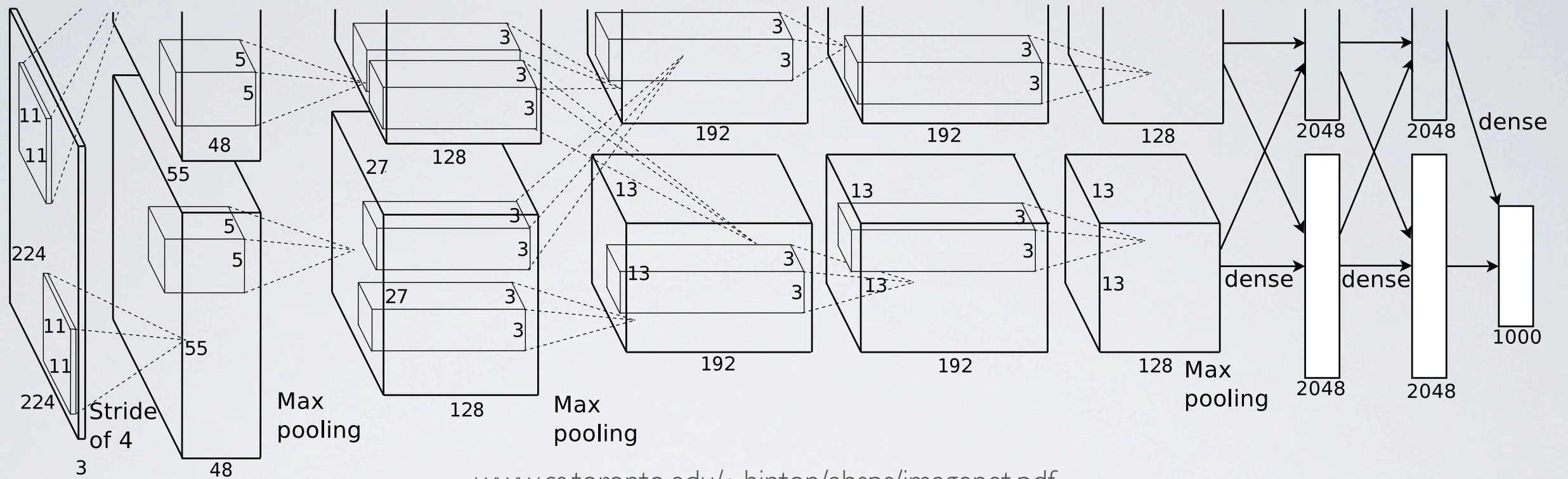
“if you **initialize the layers correctly**, you may not need pre-training at all, provided you have **enough labeled data**”

“however, you can **always increase the size** of your neural net so that even a **huge amount of data is still not enough**”

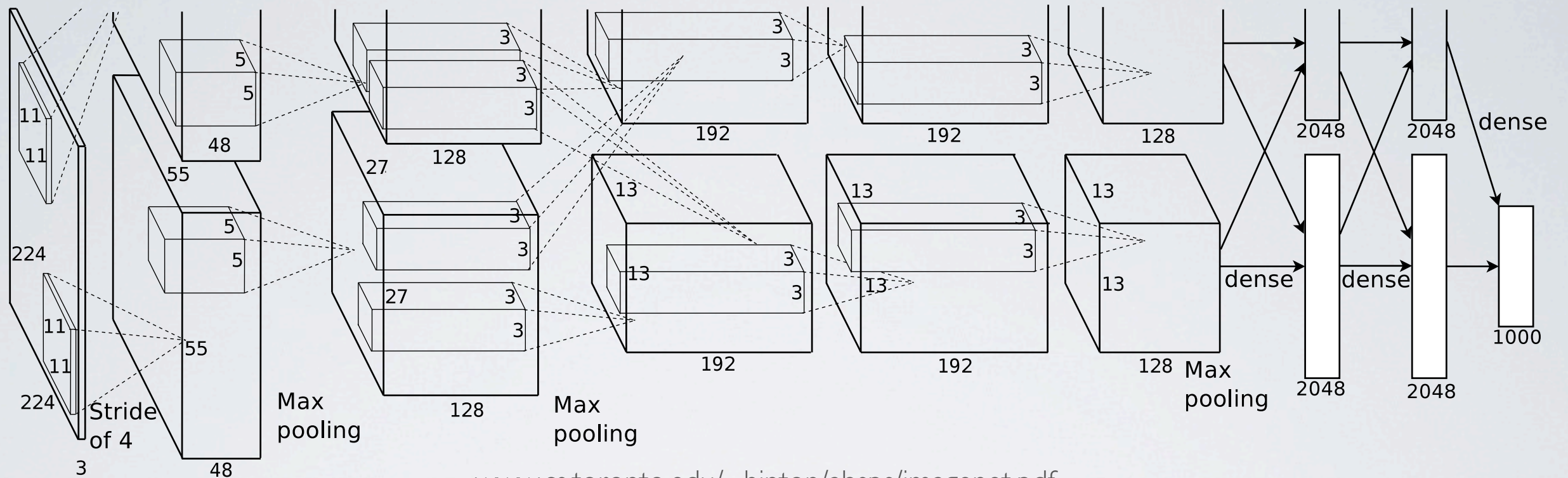
Geoffrey Hinton, Coursera class



# ON THE ARCHITECTURE



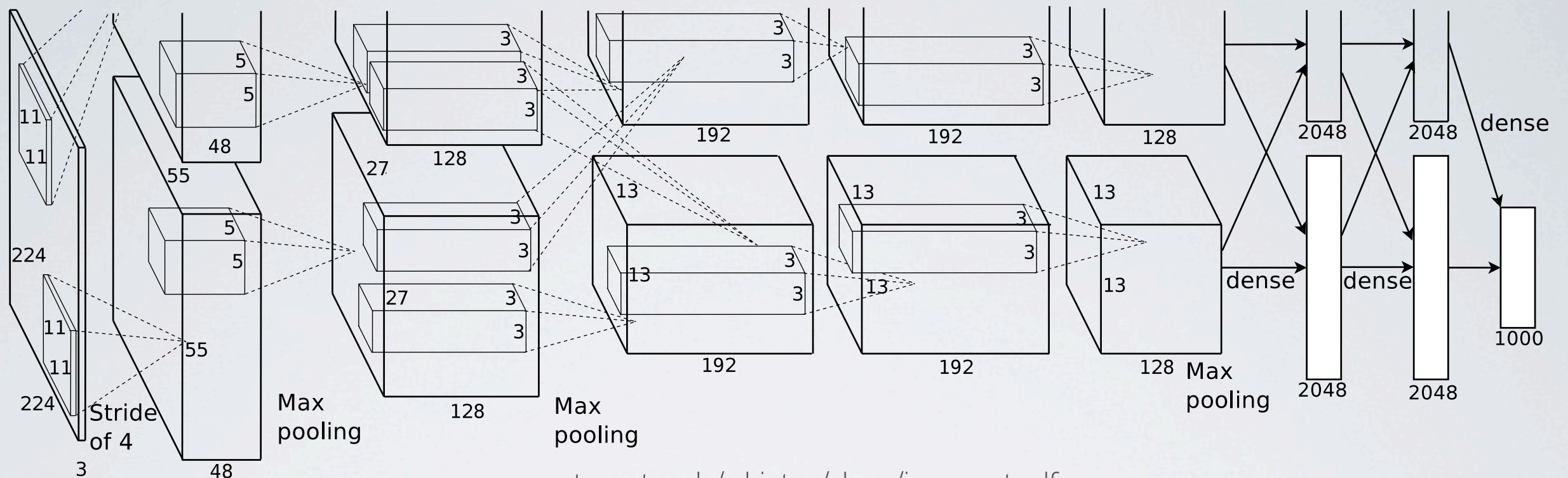
# ON THE ARCHITECTURE



typically hand-tuned



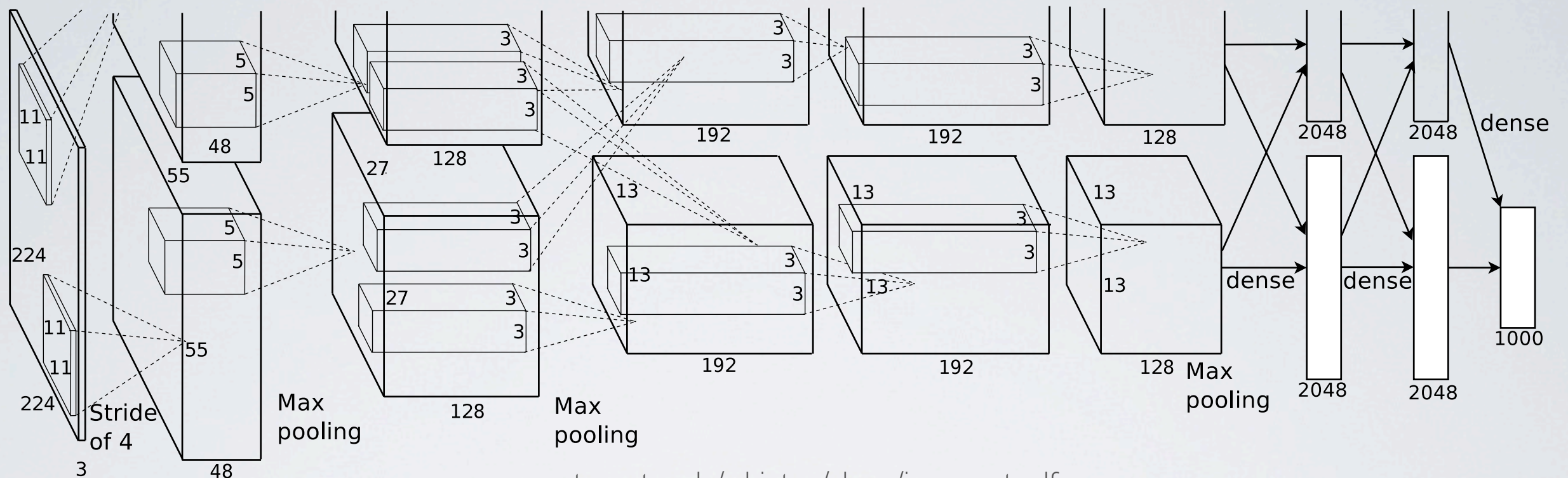
# ON THE ARCHITECTURE



typically hand-tuned

critical in the method's performance

# ON THE ARCHITECTURE



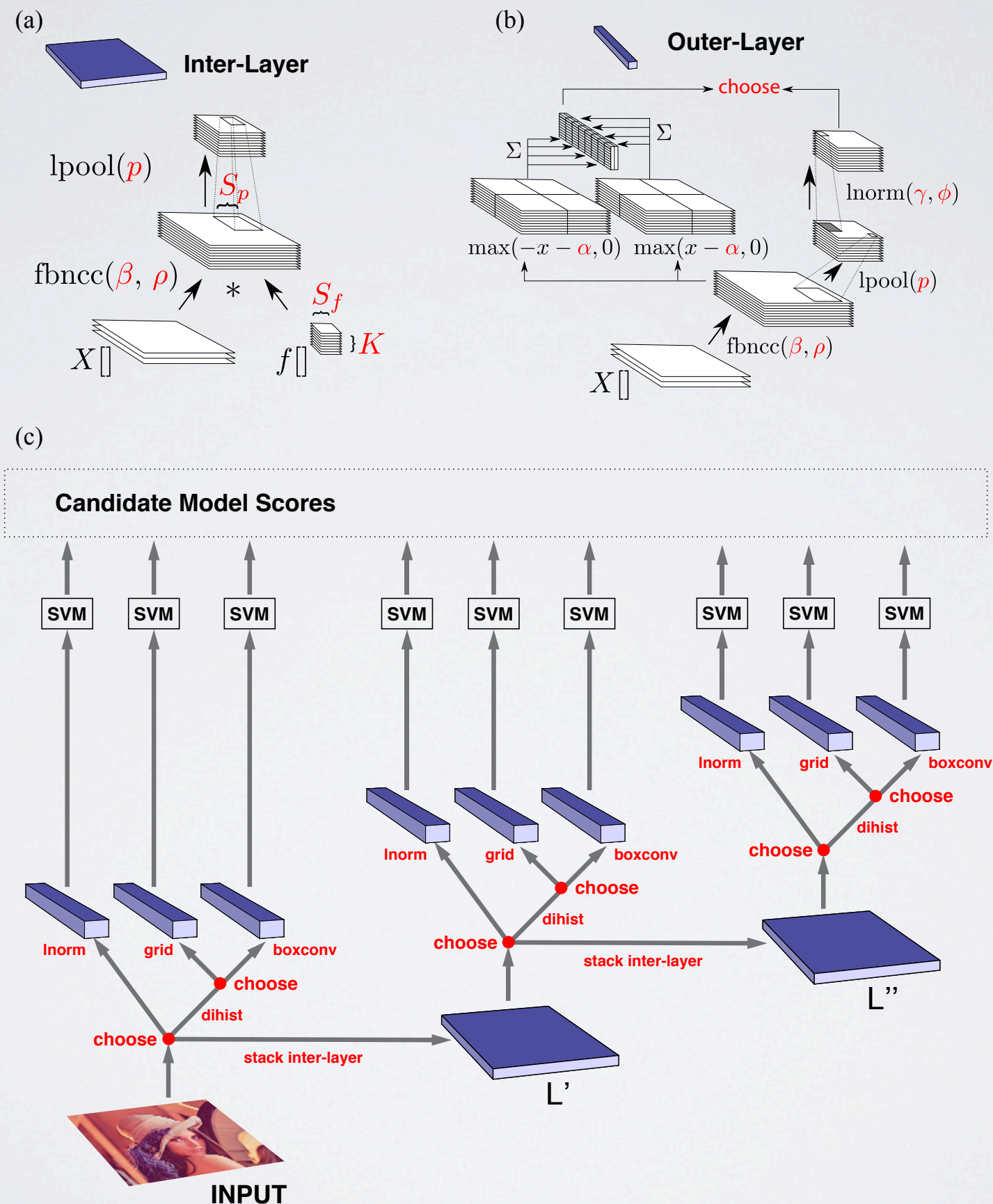
typically hand-tuned

critical in the method's performance

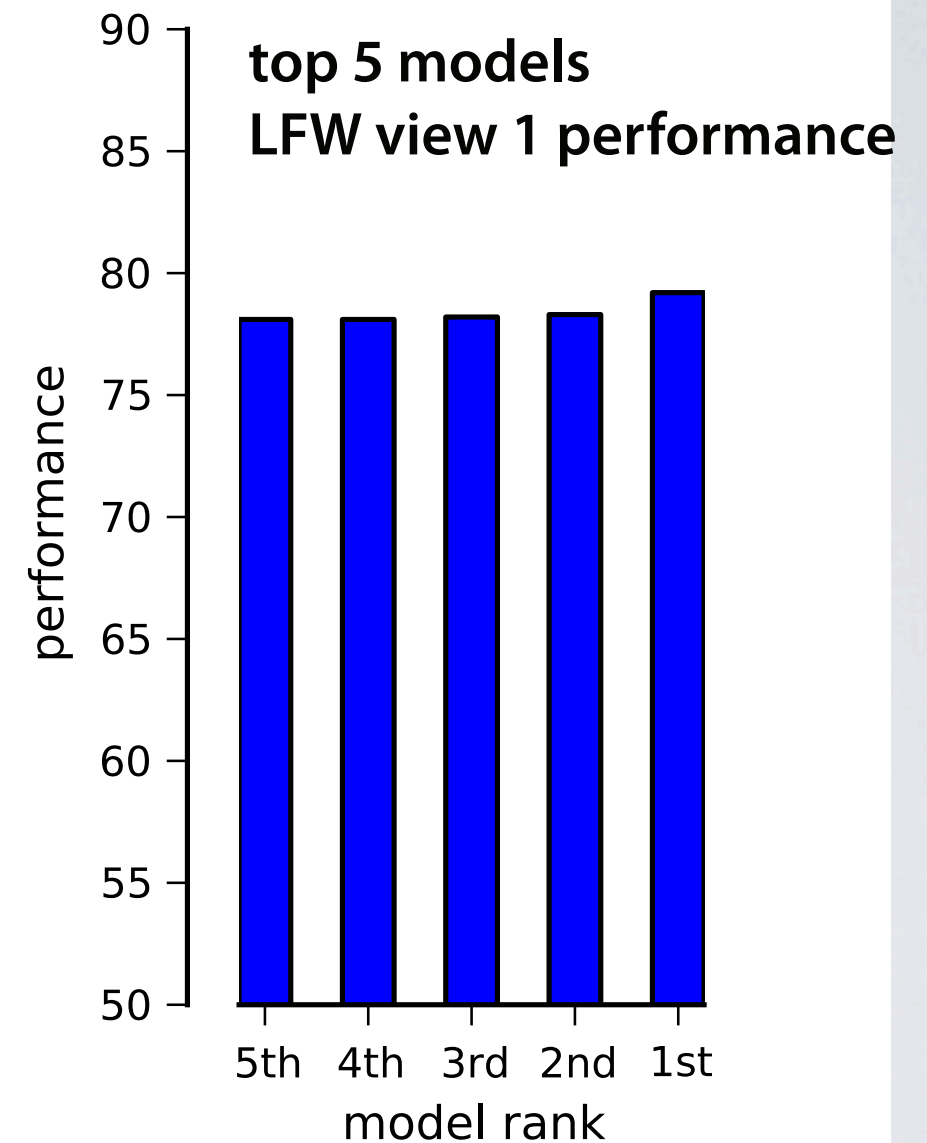
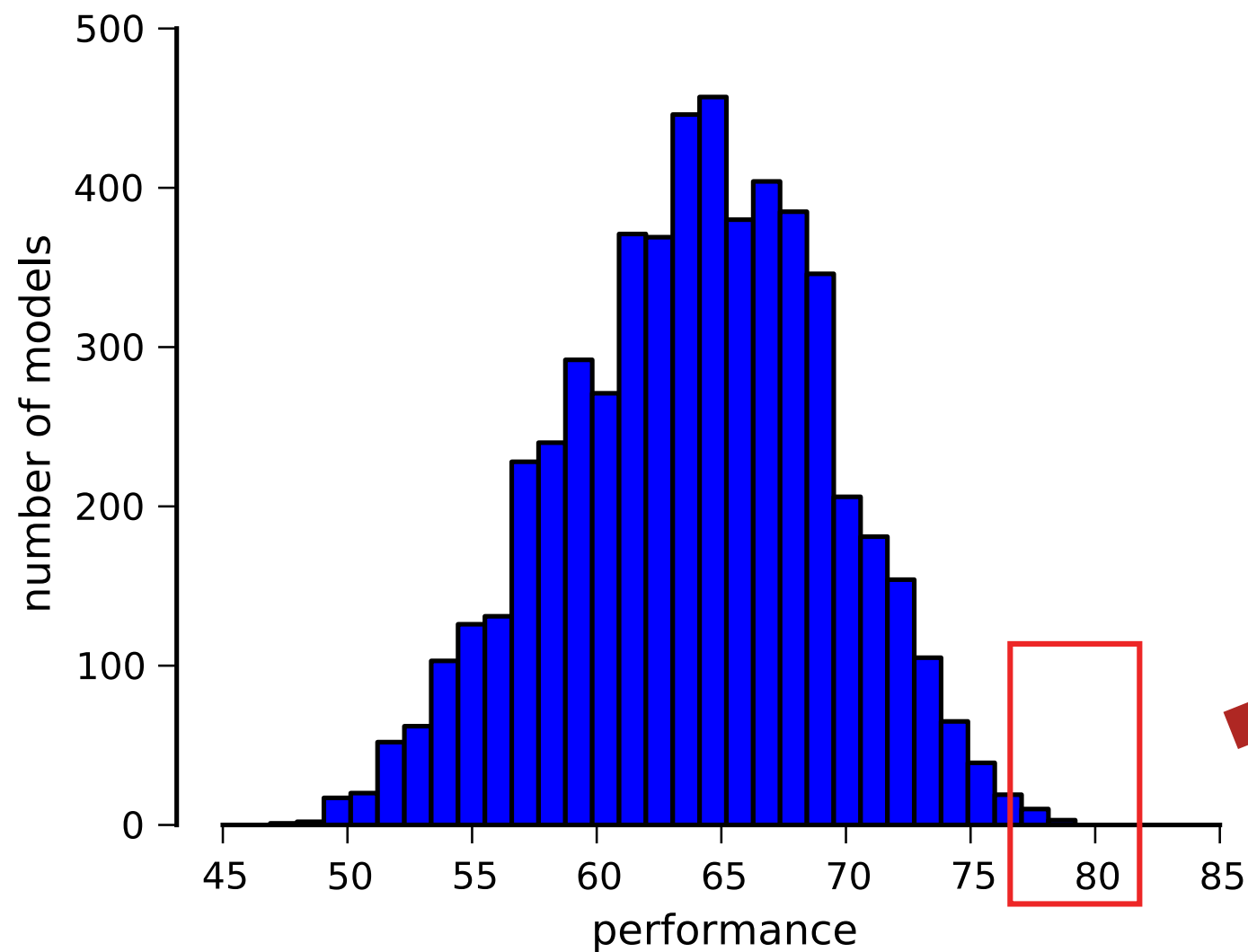
complicated search space



# ON THE ARCHITECTURE



# ON THE ARCHITECTURE



Pinto and Cox, 2013



# QUICK LAB

using an alternative notation

# CONVOLUTION+ACTIVATION

the filtering operation of an input  $\mathbf{n}$  with a bank of  $k$  filters is

$$\mathbf{f}_i = \mathbf{n} \otimes \Phi_i \quad \forall i \in \{1, 2, \dots, k\},$$

where  $\otimes$  is a 3D convolution sliding over the first two dimensions, and  $\Phi_i \in \mathbb{R}^{fh \times fw \times fd}$  is one such filter of our filter bank

and the rectified linear activation is

$$\mathbf{a}_i = \max(0, \mathbf{f}_i)$$



# POOLING

the pooling operation with strength  $p$  and spatial downsampling of  $\alpha$  is

$$\mathbf{p}_i = \text{downsample}_{\alpha} \left( \sqrt[p]{(\mathbf{a}_i)^p \odot \mathbf{1}_{ph \times pw}} \right),$$

where  $\odot$  is a 2D convolution sliding over both dimensions and  $ph \times pw$  is the pooling neighborhood

# DIVISIVE NORMALIZATION



# DIVISIVE NORMALIZATION

*"In biology, initial interests in DN focused on its ability to model dynamic gain control in **retina** [24] and the “masking” behavior in **perception** [11, 33], and to fit neural recordings from the **mammalian visual cortex** [12, 19]."*

Lyu, 2010

# DIVISIVE NORMALIZATION

finally, the divisive normalization of an input  $\mathbf{x} \in \mathbb{R}^{xh \times xw \times xd}$  is

$$\mathbf{n} = \frac{\mathbf{x}}{\sqrt{\mathbf{x}^2 \otimes \mathbf{1}_{nh \times nw \times nd}}},$$

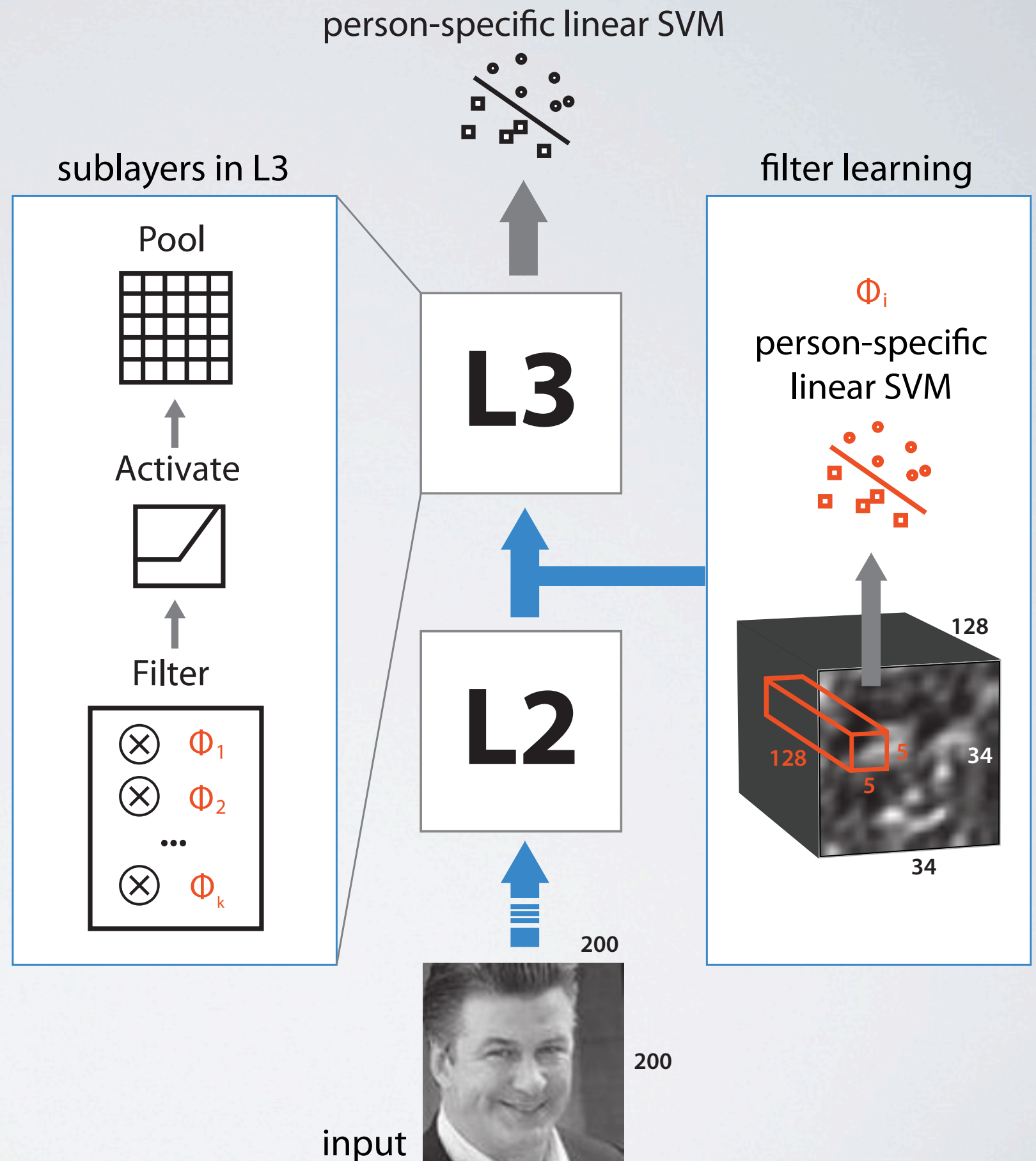
where  $\mathbf{1}_{nh \times nw \times xd}$  is a matrix of ones representing the normalization neighborhood



let's get our hands dirty!

# DEEP PS

approach  
from my  
Ph.D. thesis





# FACE IDENTIFICATION



questions?